

FICHSA 2019

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Contactless Fault Isolation in ICs

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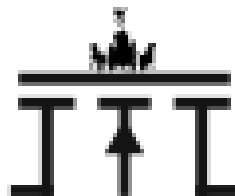
Trailblazer of Hardware Attacking

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C. Boit



Technische Universität Berlin  
Germany



Semiconductor Devices

# Intro: Among the side channel attacks...

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Side channel attacks of digital ICs are evaluating analog signals that come with the digital data stream...

(Differential) Power Analysis

Timing Analysis

Electro Magnetic Analysis

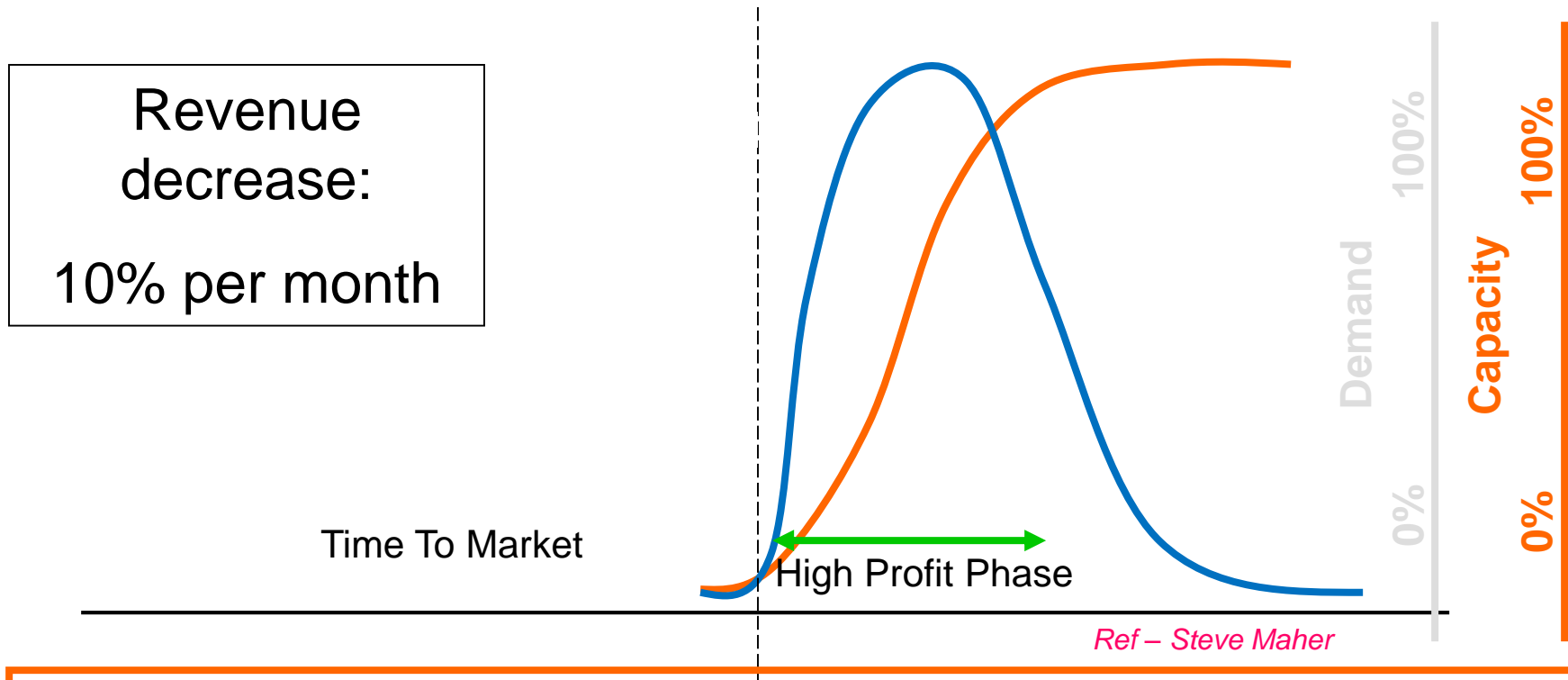
Fault Injection (part of CFI)

Light emission (part of CFI)

CFI is a much wider field of techniques made for a purpose in IC development

What makes CFI one of the highest hardware security risks?

# Time to Market: Critical Factor in IC Development

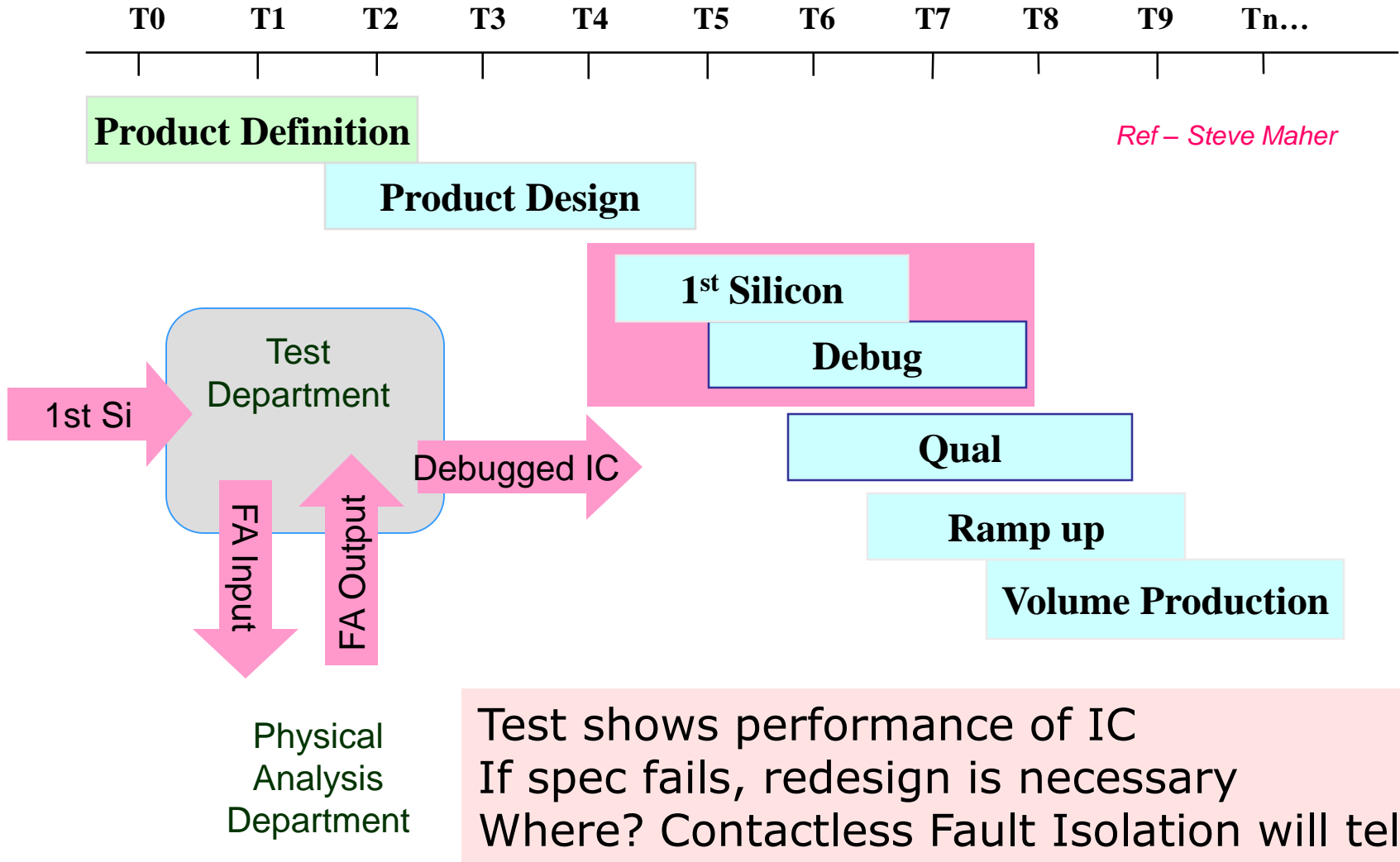


Time to market reduction:

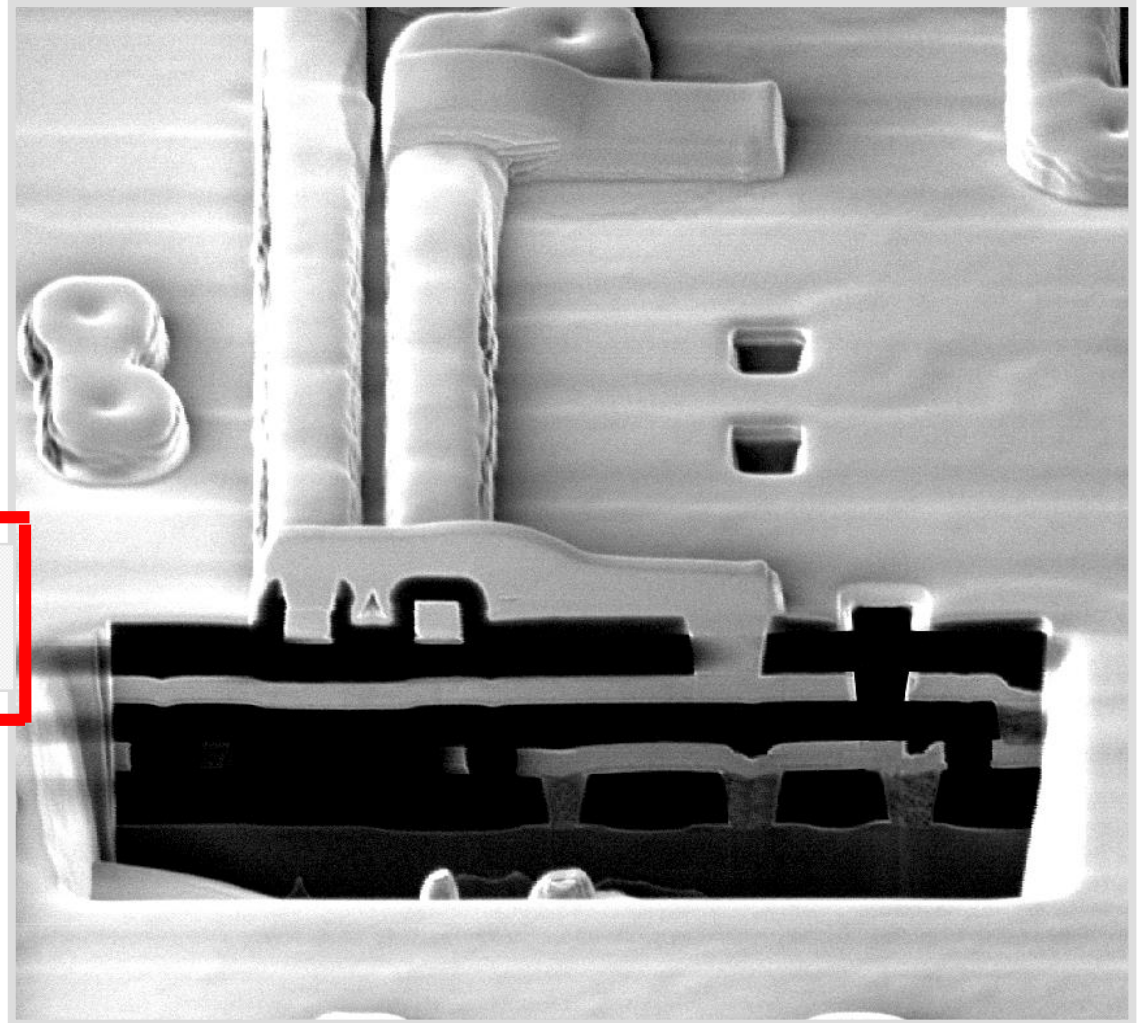
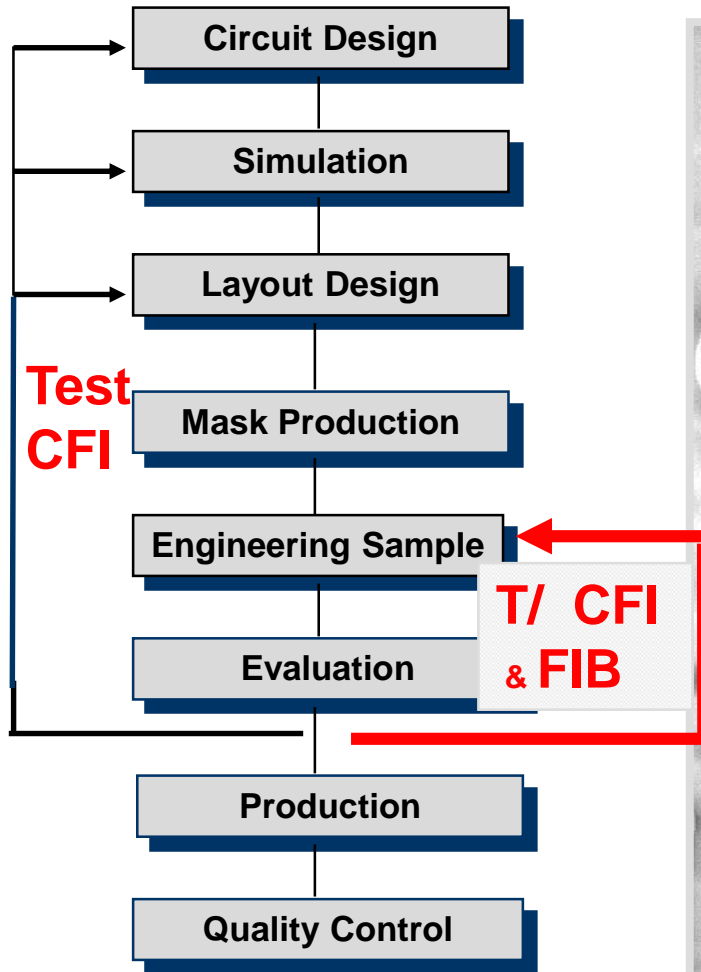
Acceleration of design, technology development, ramp up and...

**debug** (readiness of tests & CFI techniques to new product)

# IC Development Process Silicon Debug



# Debug with Test, CFI & FIB Circuit Edit



# Why is CFI one of the highest hardware security risks?

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It is not just another side channel access

It has been created for an own purpose within IC development

Time to market and performance optimization depend on professional  
CFI as integrated in IC development process

IC debug and Failure Analysis have their agenda to match shrinking  
node size into nanoscale

→ now, let's have a look into the history and today's agenda

# Outline

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Why contactless Fault Isolation in ICs

Technology Node and CFI Evolution

The Benefits of CFI Backside Approach

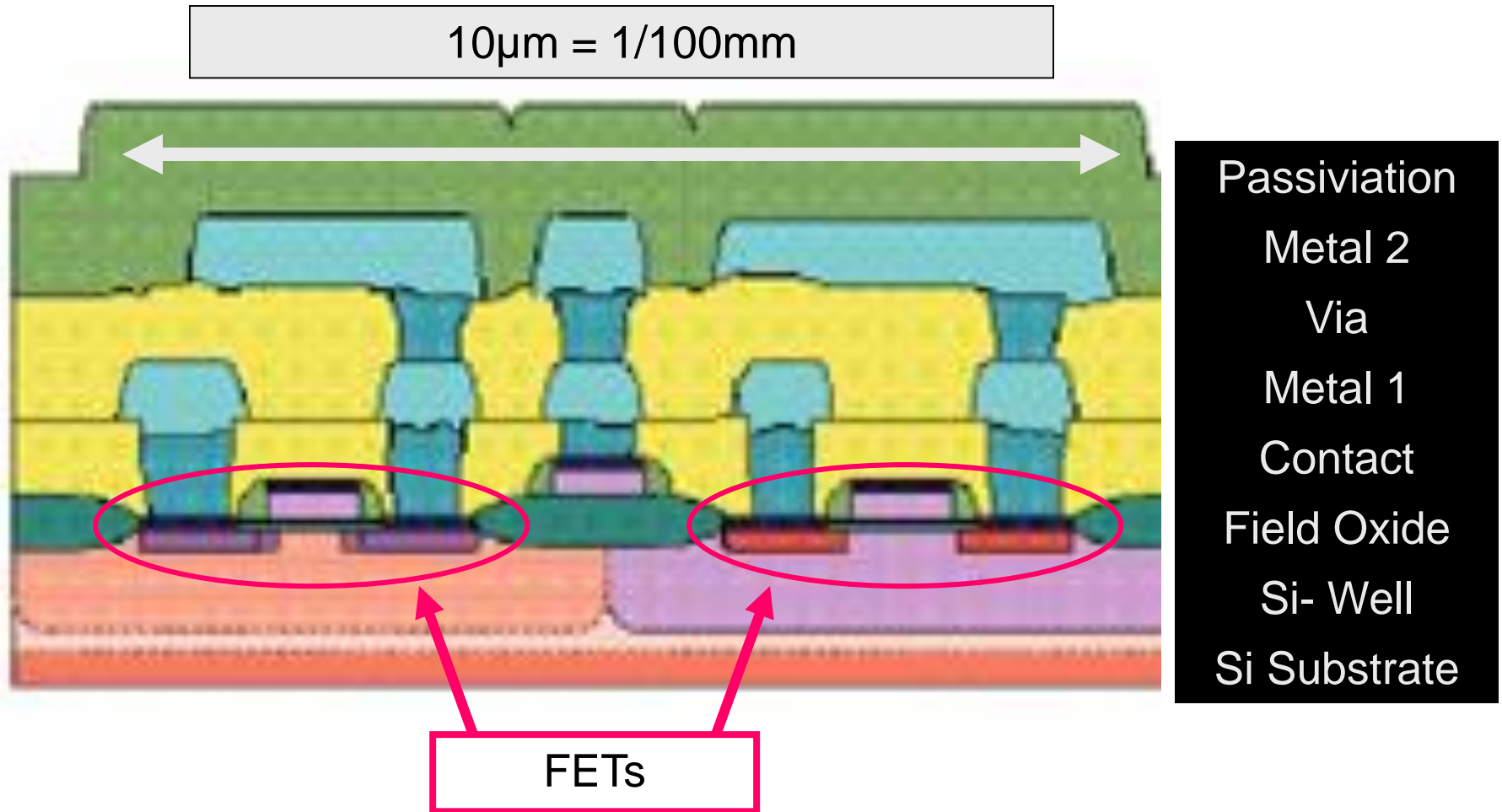
Relevant CFI Techniques and Attack Risks

IoT Roadmap: Nanoscale FinFET & Low Power

CFI will prevail and the Attack Risk with it

Backside Protection

# IC of 1 $\mu$ m Node Technology (~1990)



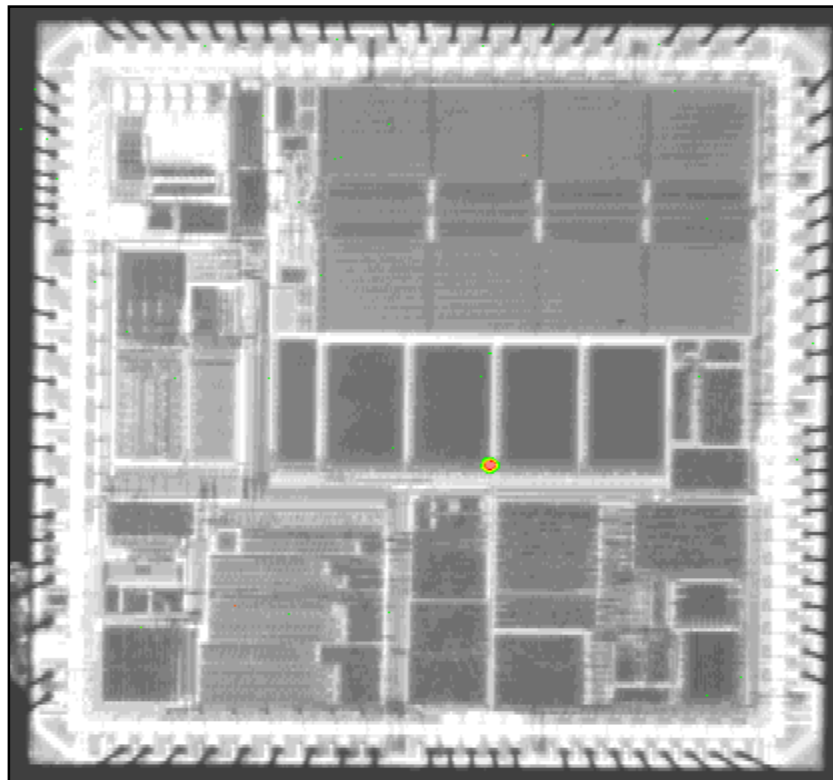


# IC Contactless Fault Isolation (CFI)

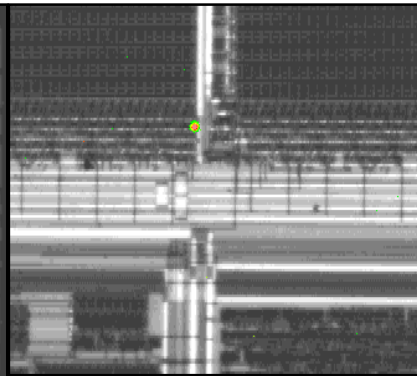
$R \approx 0.61\lambda/NA$  NA: Numerical Aperture

$\lambda$ : Light wavelength (NIR:  $\approx 1\mu\text{m}$ )

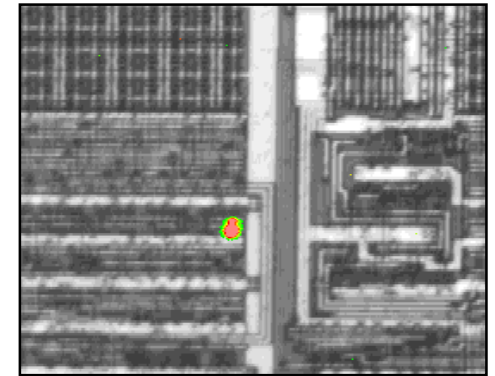
NA=  $\sin\alpha$ : Aperture of Objective ( $<1$ )



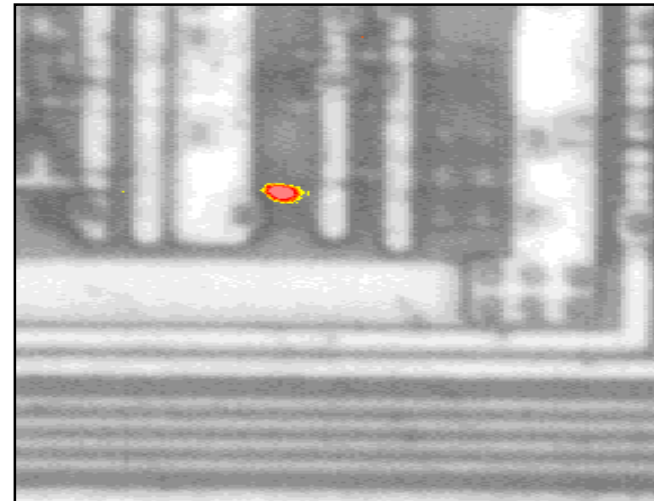
X 0.8



X 5



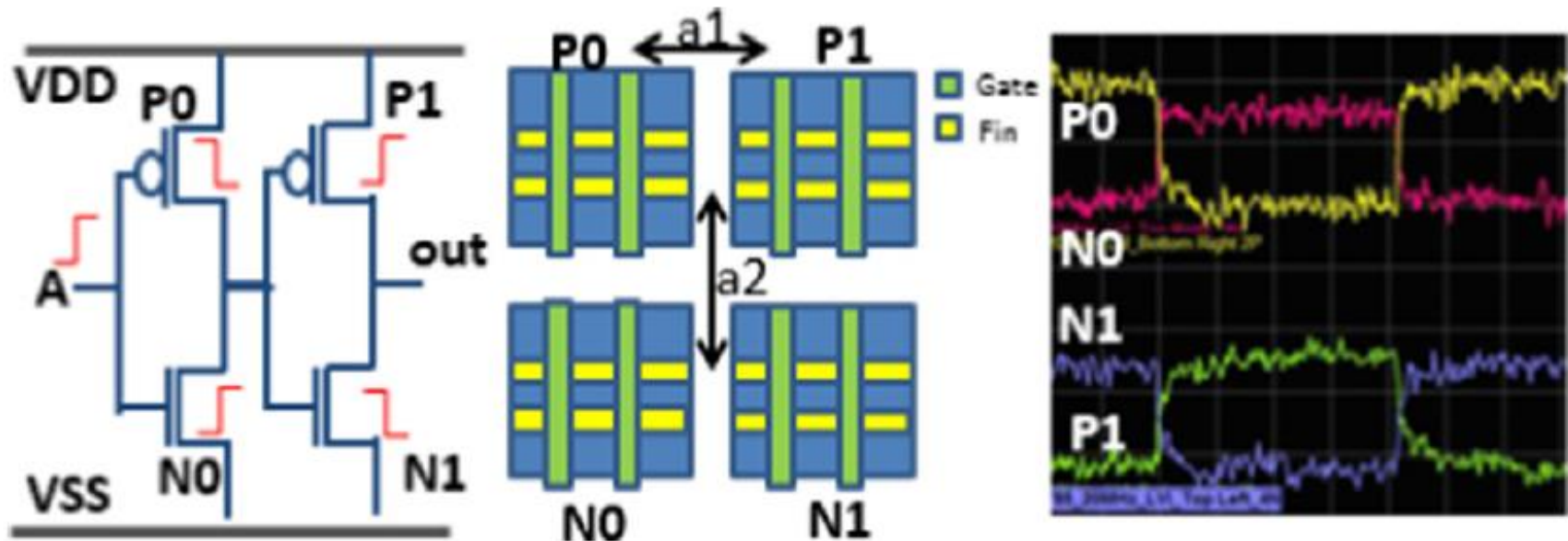
X 25



X 100

Photon Emission

# IC Contactless Probing (subject to CFI as well)



Understanding spatial resolution of laser voltage imaging

V.K. Ravikumar<sup>a,b,\*</sup>, G. Lim<sup>b,c</sup>, J.M. Chin<sup>b</sup>, K.L. Pey<sup>a</sup>, J.K.W. Yang<sup>a</sup>

<sup>a</sup> Singapore University of Technology and Design, Singapore

<sup>b</sup> Advanced Micro Devices Singapore Pte Ltd, Singapore

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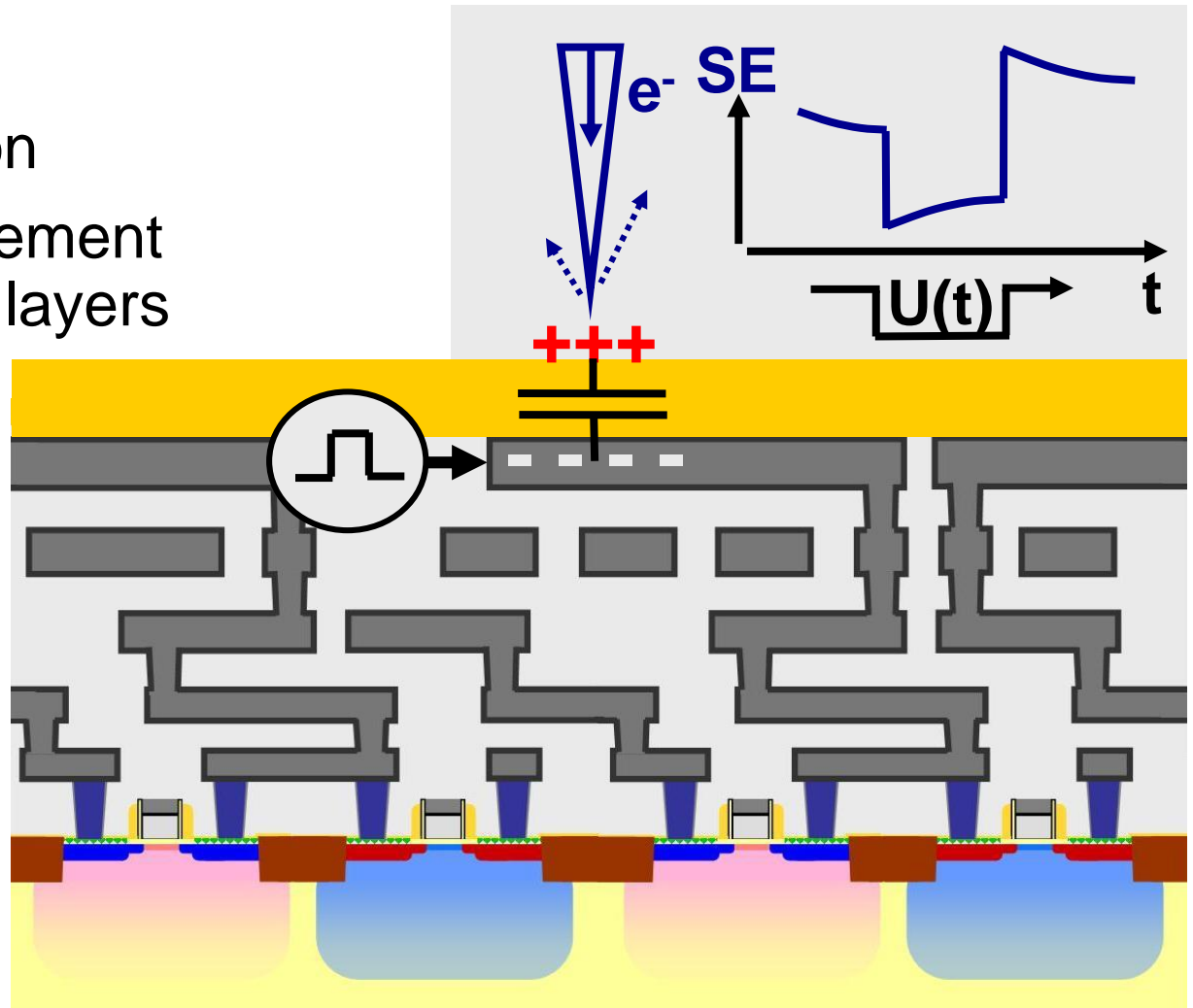
ESREF 2018

# Frontside Electron-Beam-Probing

**Logical waveform = timing analysis !!**

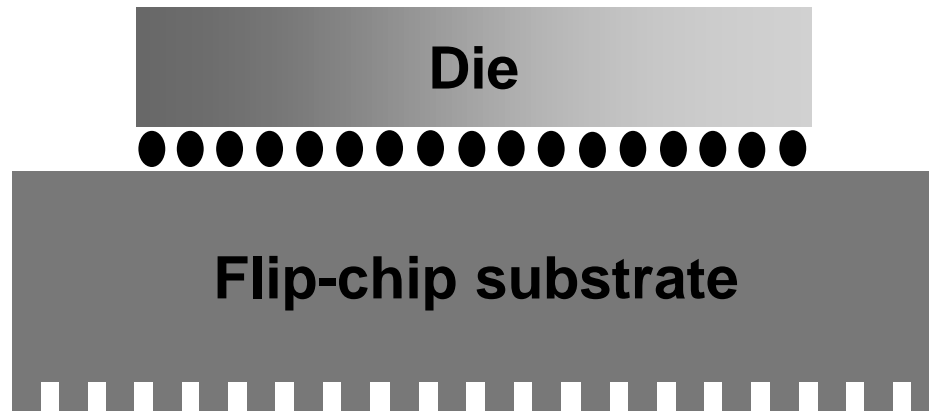
- non invasive
- high time resolution
- fast signal acquisition
- quantitative measurement
- only on uppermost layers

$R \approx \lambda / (2NA)$   
 $\lambda$  E Beam: 0.1nm  
(100V, De Broglie)  
Real resolution: 10nm



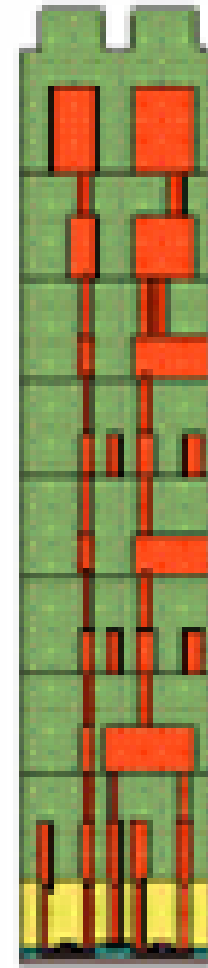
# Process Access: Through Silicon Backside

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New Packages: Flip Chip

Data taken from Fujitsu

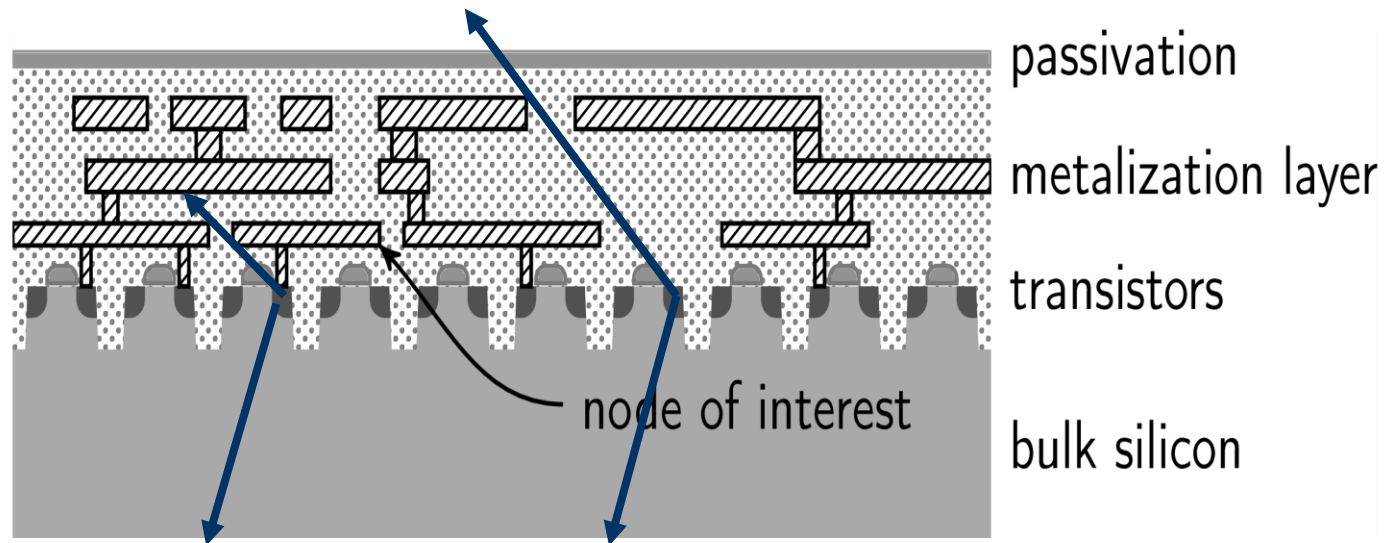


New Technologies:  
Multiple Interconnect  
Levels

# Compare Information Level Frontside and Backside

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Optical interaction through frontside: each node has individual signature due to interconnect intranparency

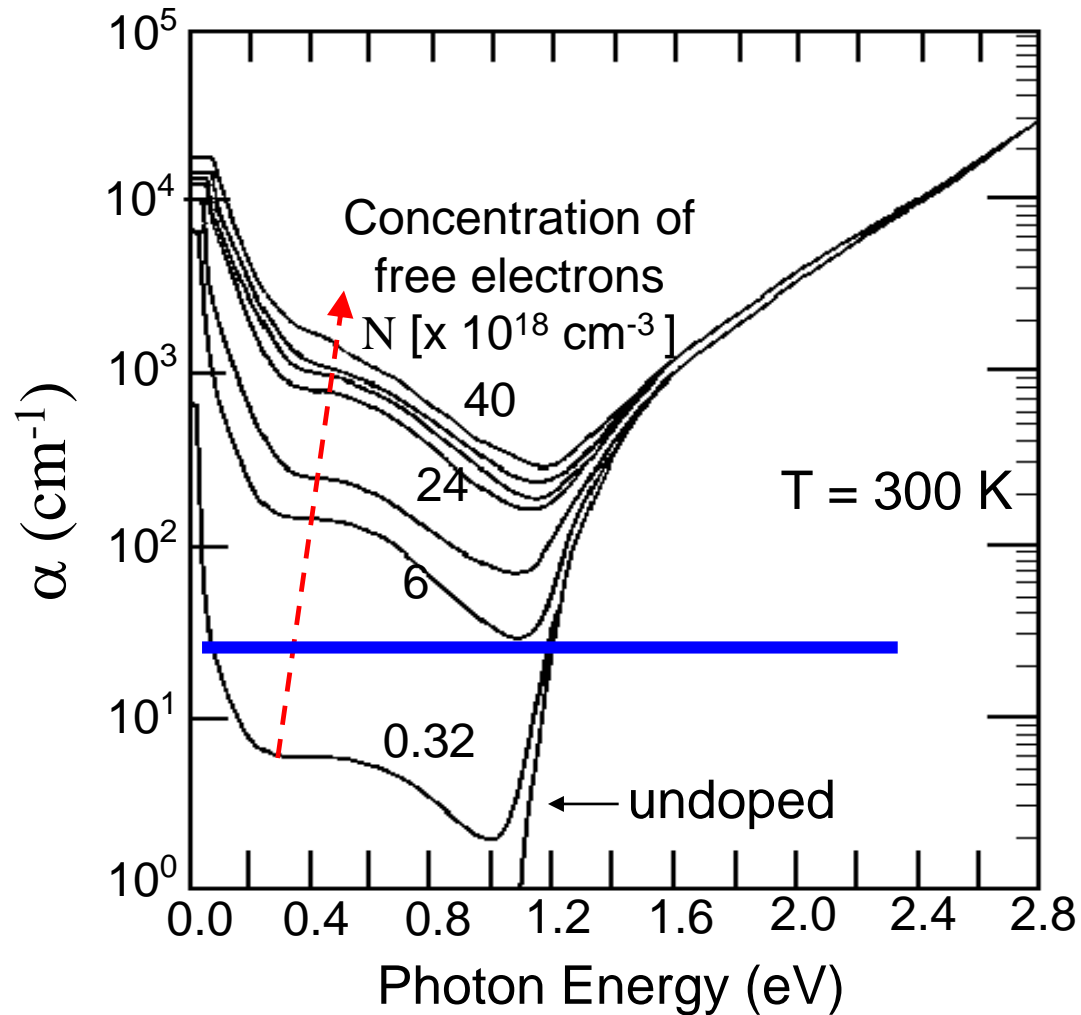


Access through chip backside: all nodes show same interaction scenario ...and compare quantitatively!  
Read out much more precise

# Backside Access & Optics

**Sufficient  
transmission  
through Si  
good for die  
thickness of 500 $\mu\text{m}$**

Soref et al., IEEE J. of  
Quant. Elec., Vol. QE-  
23, No.1, January  
1987



# From backside, all nodes act alike

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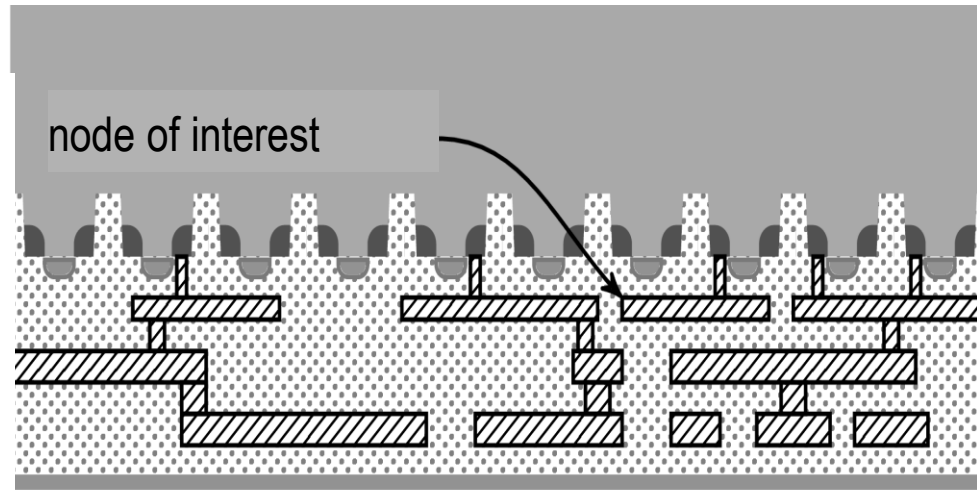
Backside point of view....

Bulk silicon

Transistors

Metallization Layer

Passivation



...is from now on interaction surface for CFI

# In A Nutshell

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- Contactless signal tracking mandatory in IC development
- Contactless signal tracking = Physical Interaction
- Today physical interaction needs to access chip through backside = optical techniques play major role
- Backside access allows to compare signal quantitatively = new level of precision in signal reconstruction



# Outline

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The Benefits of CFI Backside Approach

Relevant CFI Techniques and Attack Risks

IoT Roadmap: Nanoscale FinFET & Low Power

CFI will prevail and the Attack Risk with it

Backside Protection

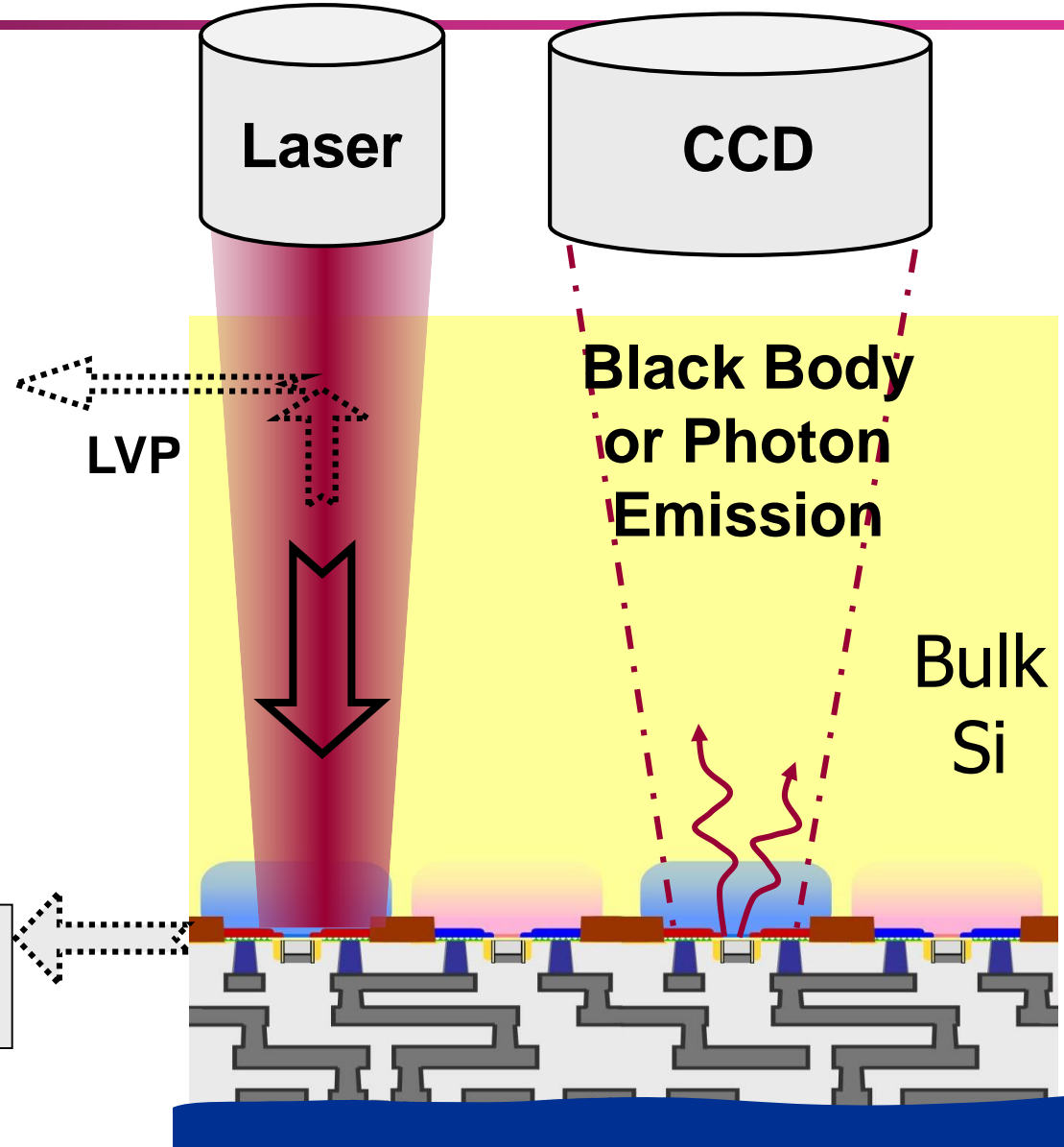
# Optical Backside Circuit Analysis

- Time Res. Photon Emission:  
Rise and Fall Events of  
Pattern

- Laser Voltage Probing:  
Quantitative Voltage  
Waveform

- Laser Stimulated  
Delay Variation:  
Tester Pass / Fail Decision

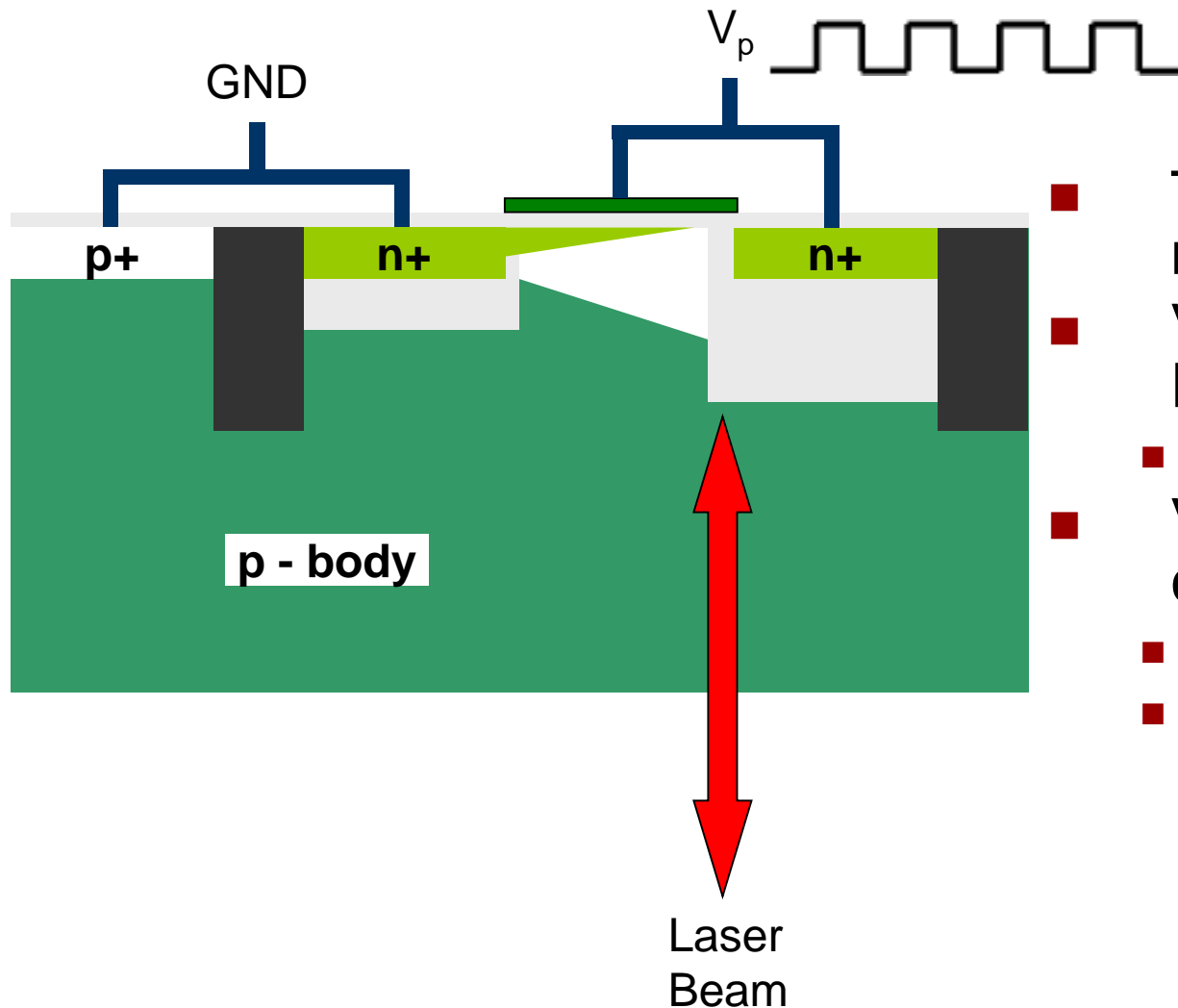
**Laser Stimulated  
Electrical Signal**



# Reflectance Modulation Imaging / Principles

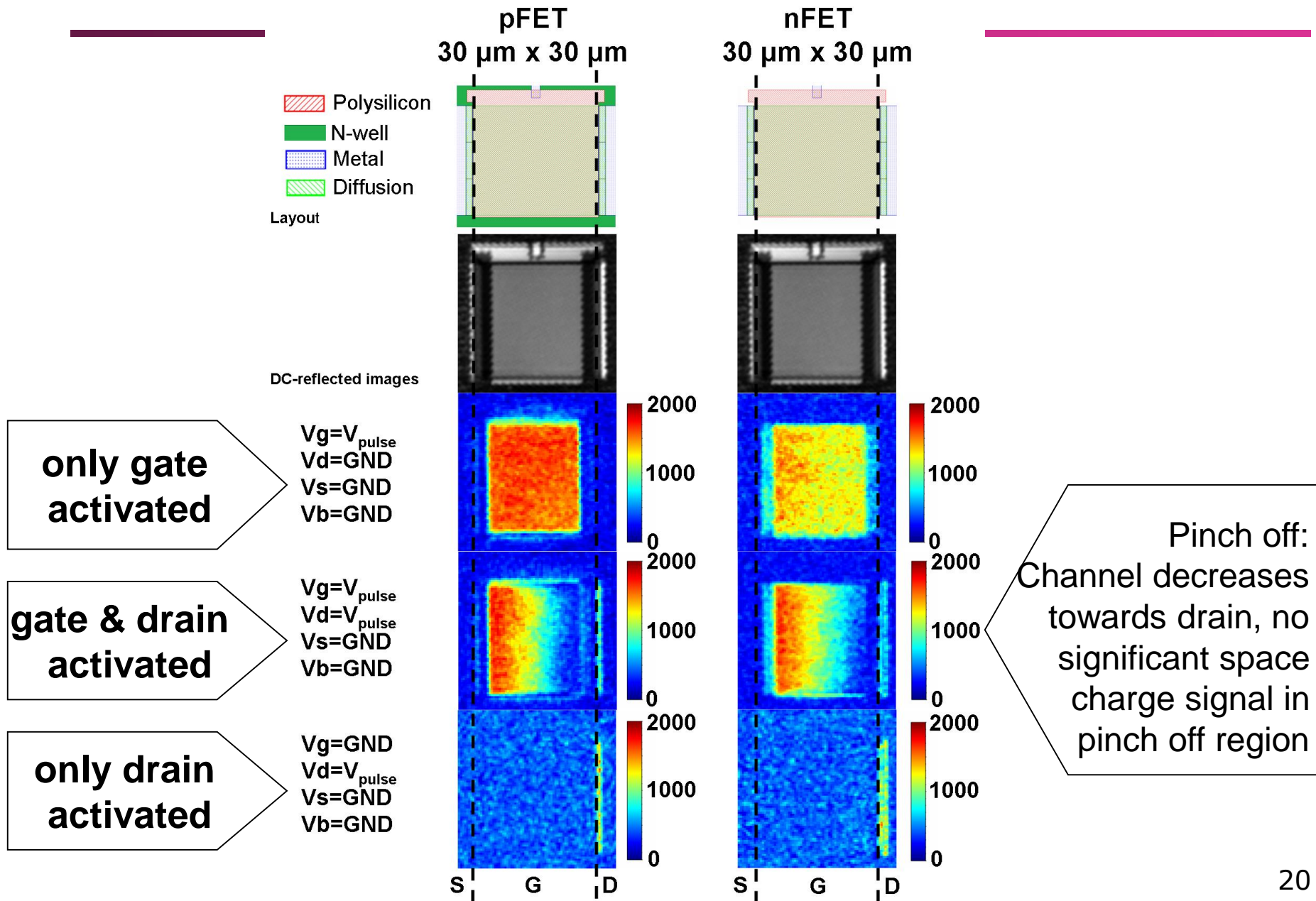
Laser Voltage Imaging (LVI), Electro-Optical Freq.Modul. (EOFM)

**Logical waveform = timing analysis !!**



- The reflected light modulation due to:
- Varying Charge Density
  - In the channel region
- Varying space charge layer
  - In the pinch off region
  - In the drain-junction region

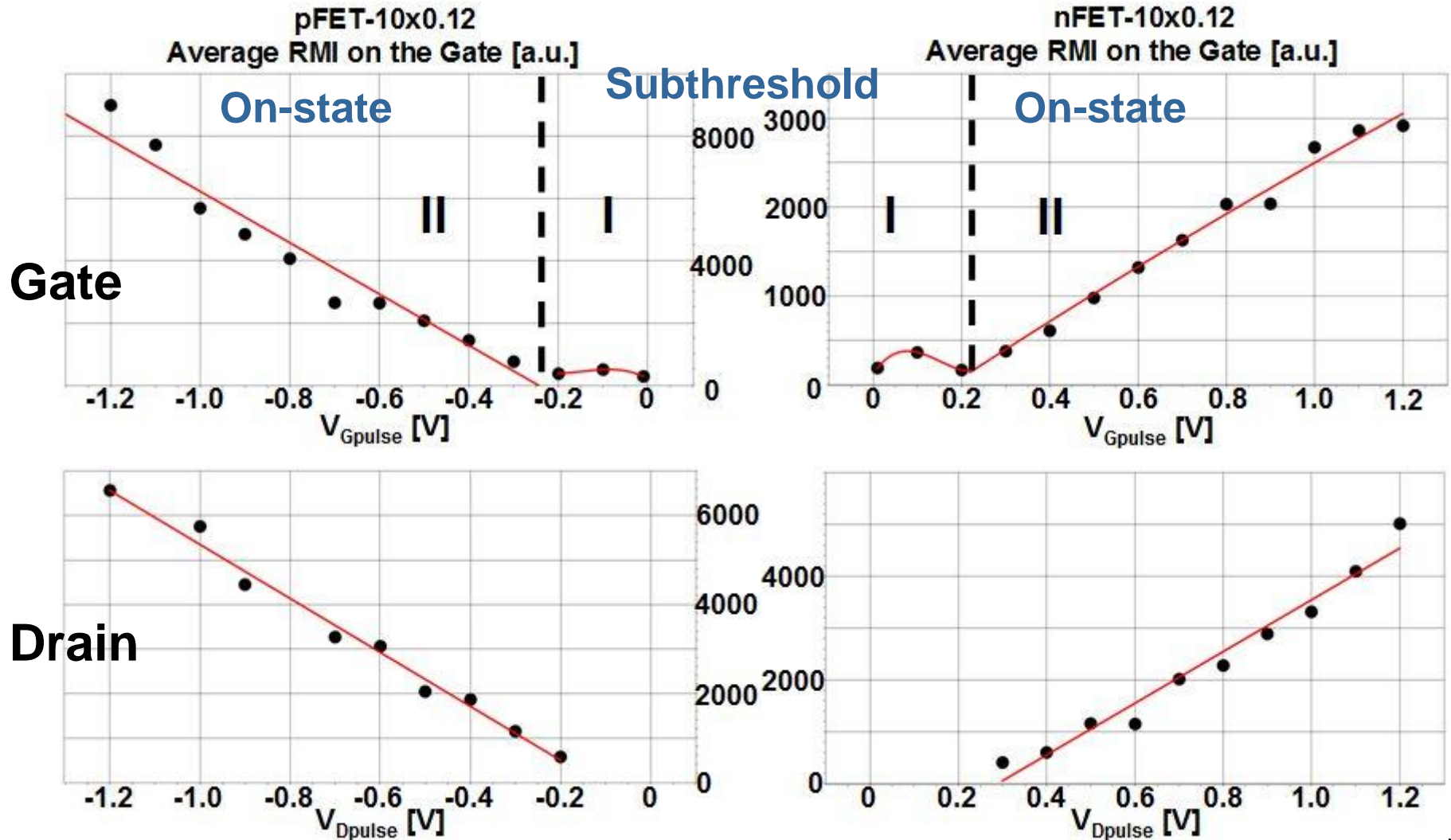
# LVI / EOVM on large-area FETs



# EOP / LVP: Signal Linear with Supply Voltage: No Limit for Low Power Technologies

PFET

NFET



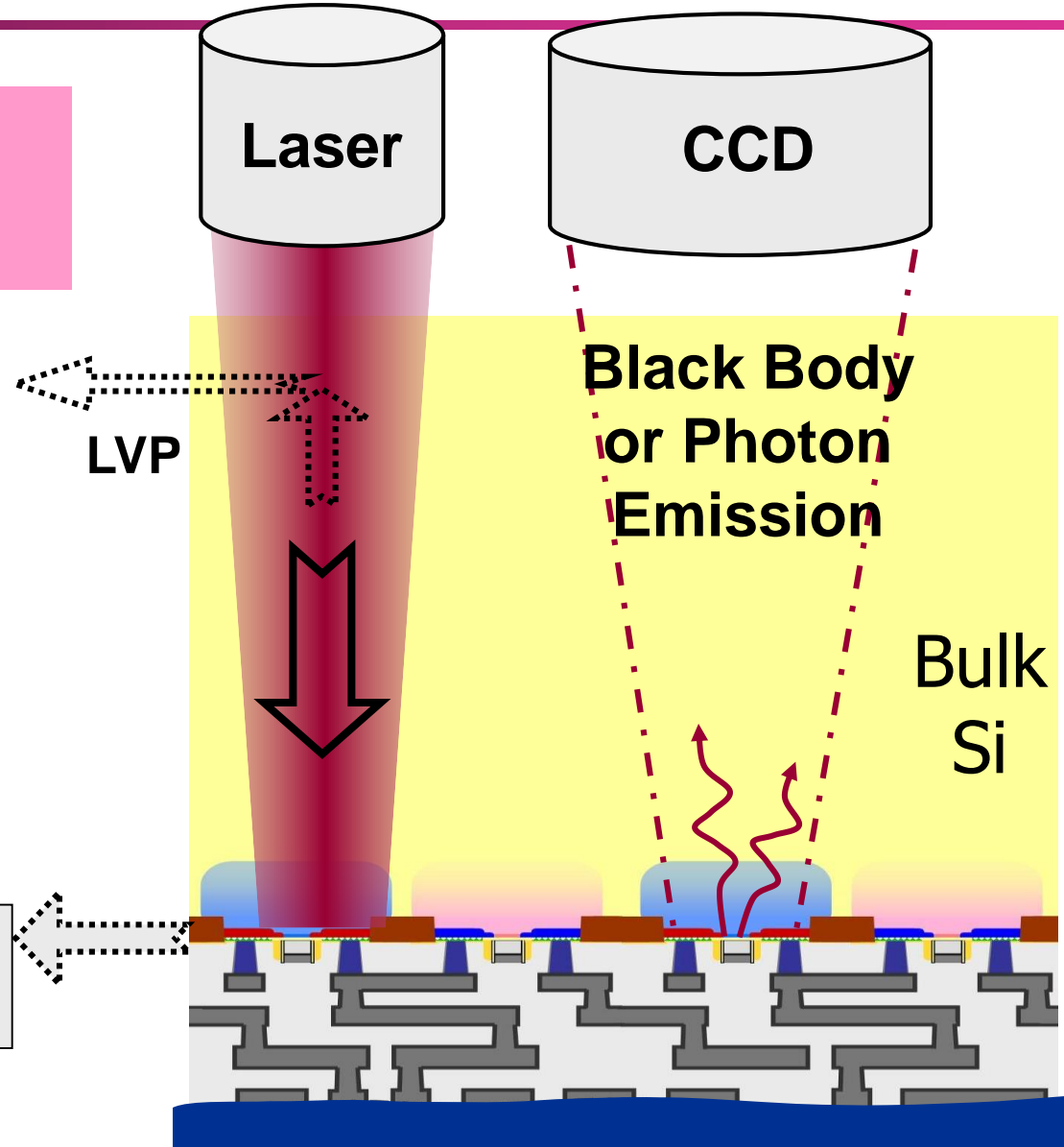
# Optical Backside Circuit Analysis

- Time Res. Photon Emission: Rise and Fall Events of Pattern

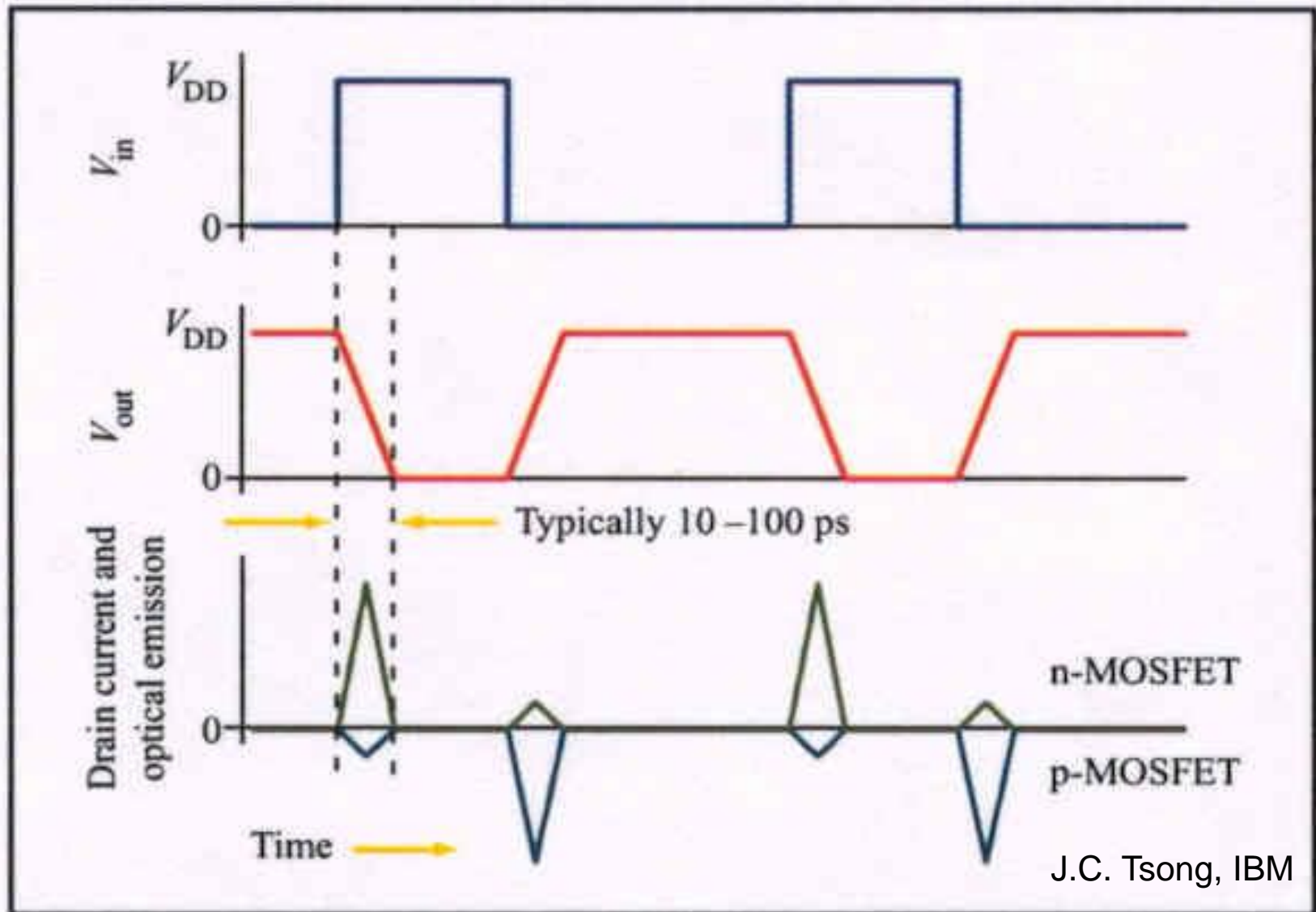
- Laser Voltage Probing: Quantitative Voltage Waveform

- Laser Stimulated Delay Variation: Tester Pass / Fail Decision

**Laser Stimulated Electrical Signal**



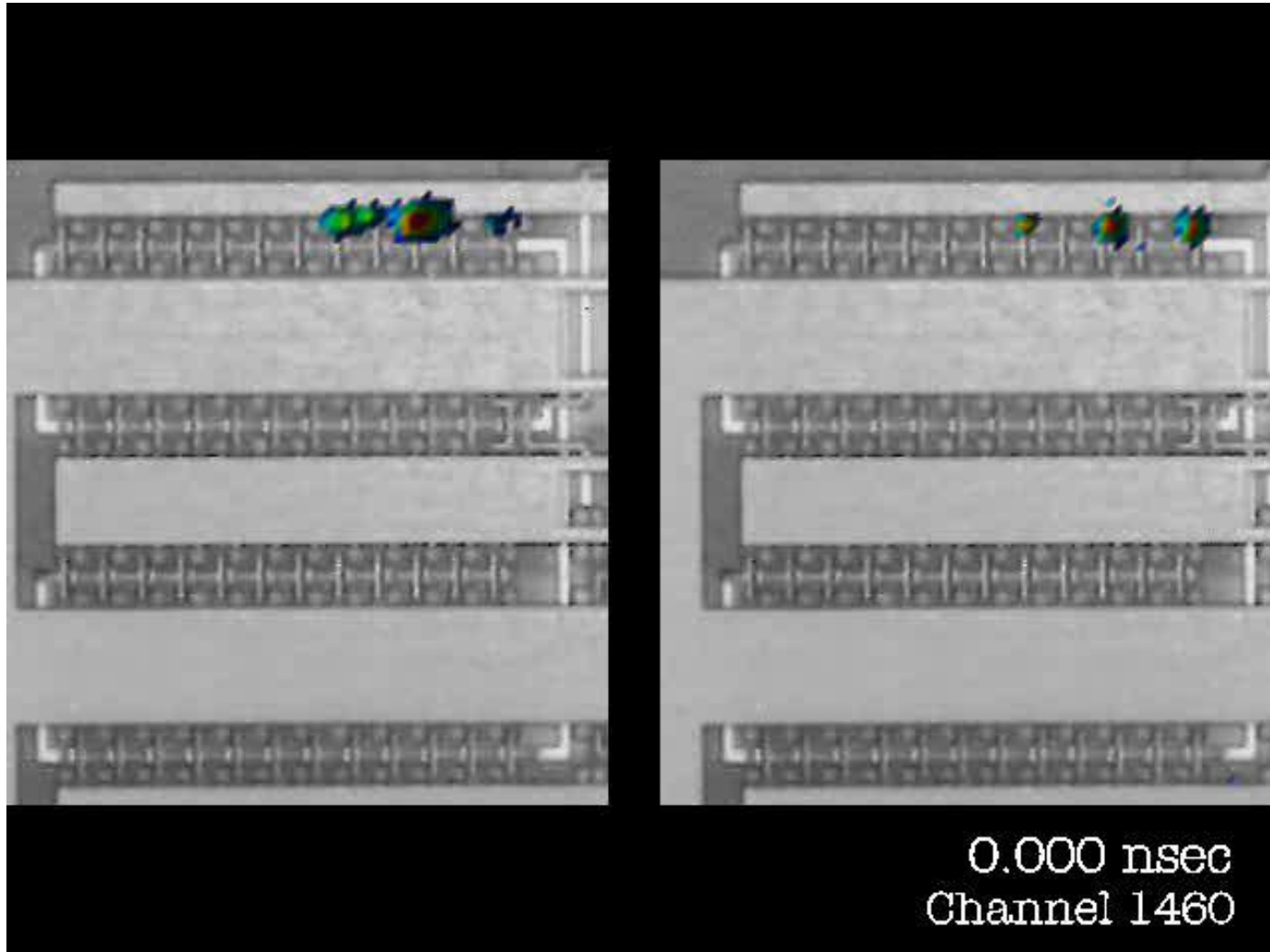
# Photon Emission in CMOS Inverter





# TRE in Ring Oscillator - Demonstrator

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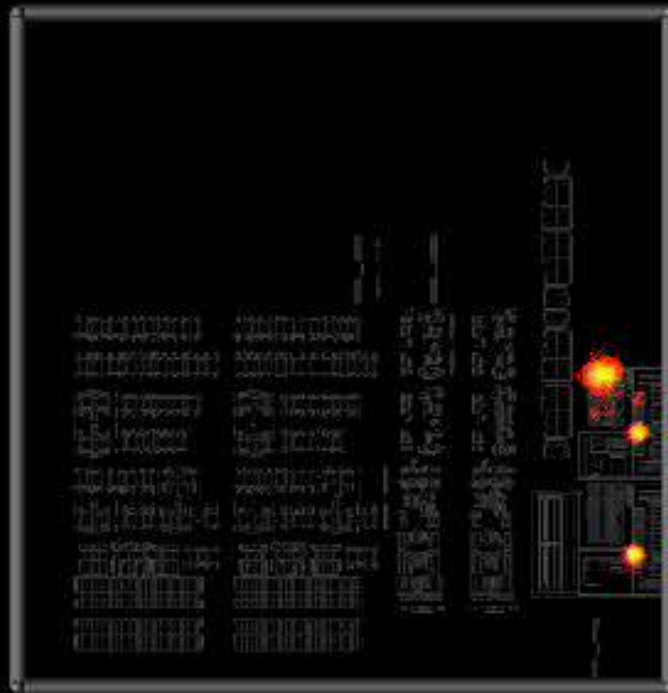
Courtesy IBM / Richard Ross

...impossible with frontside detection



# Watching the Chip at Work

0.000 nsec  
Channel 982

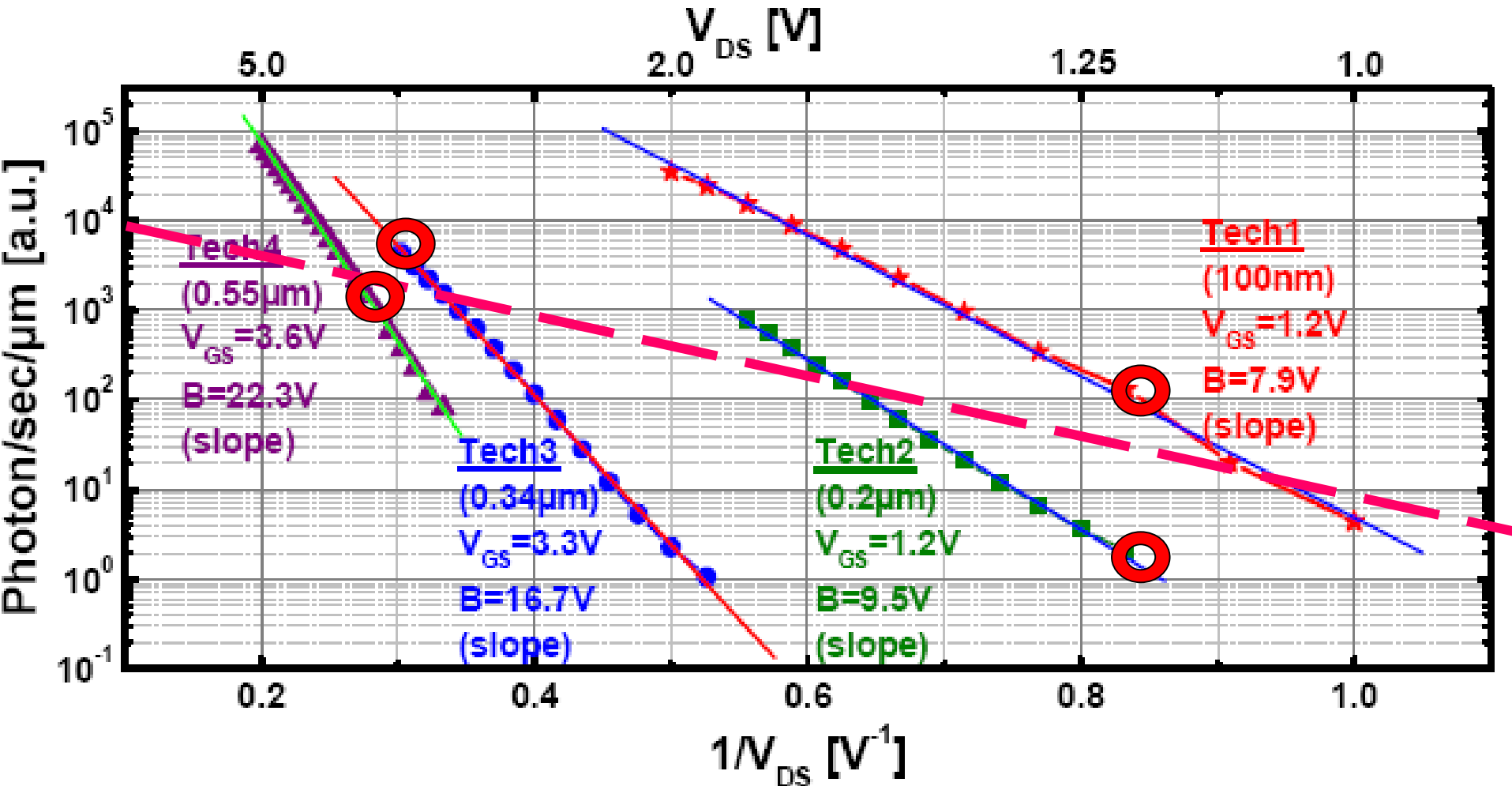


courtesy Richard Ross / IBM

...unthinkable with frontside detection

# Scaling of CMOS technologies

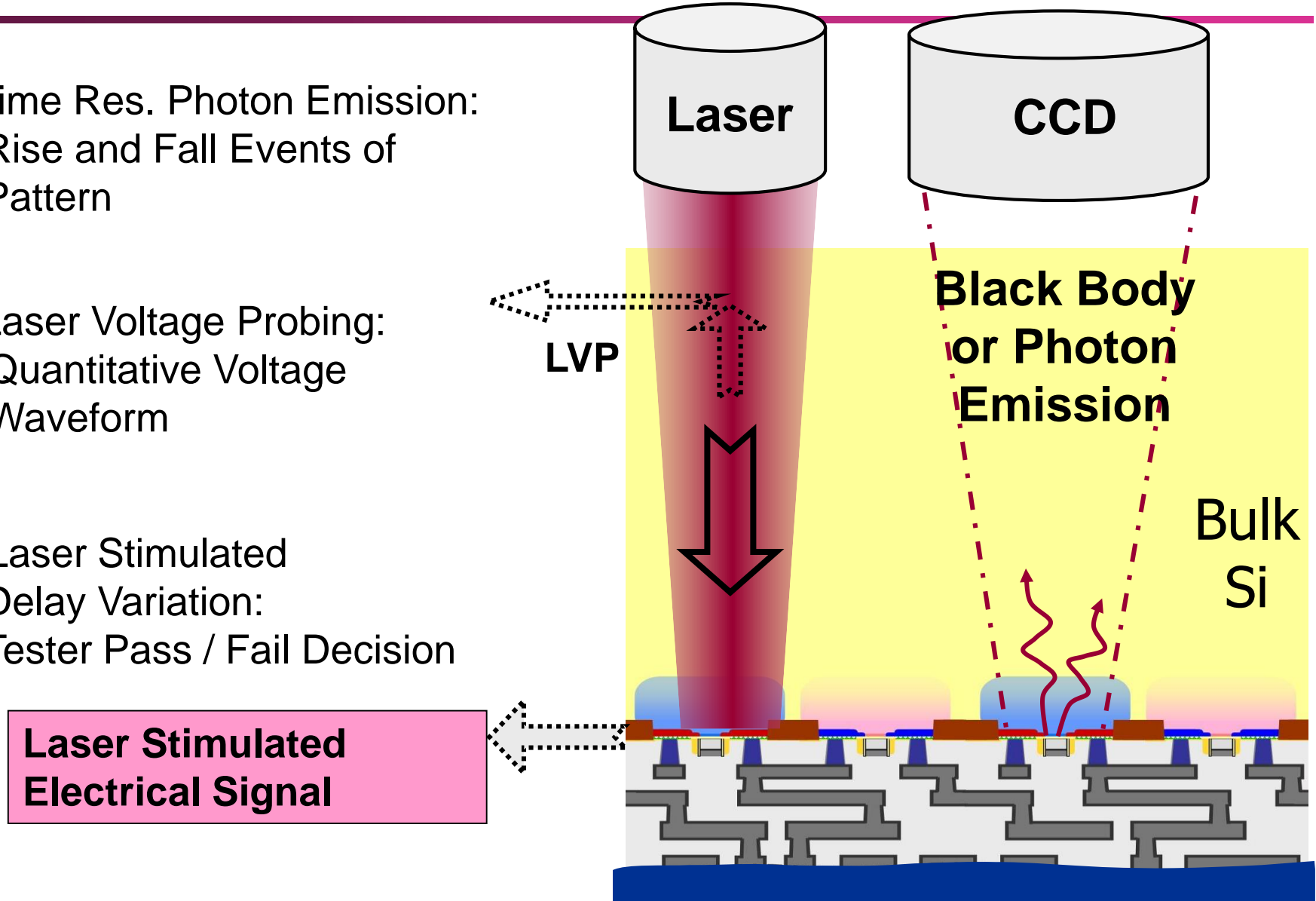
## Challenges for photon emission microscopy



Modified by CB after: "Hot-carrier photoemission in scaled CMOS technologies: A challenge for emission based testing and diagnostics", Alberto Tosi, Franco Stellari, Andrea Pigozzi, Giulio Marchesi, Franco Zappa, IEEE IRPS 2006

# Optical Backside Circuit Analysis

- Time Res. Photon Emission:  
Rise and Fall Events of  
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Waveform
- Laser Stimulated  
Delay Variation:  
Tester Pass / Fail Decision



# Photoelectric Laser Stimulation (PLS)

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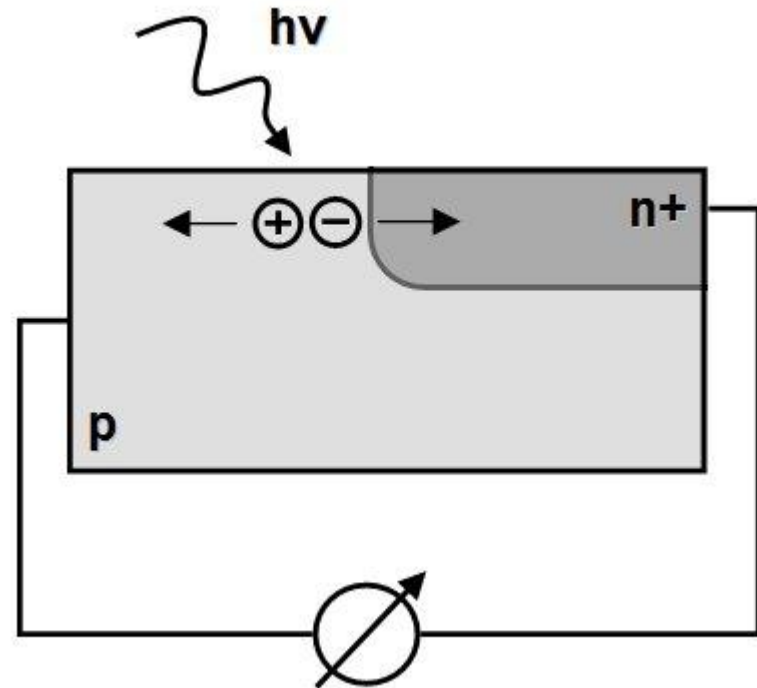
## Optical Beam Induced Current (OBIC)

### Key Issues:

- Scanned Laser Beam
- Penetration Depth =  $f$  (Wave Length)
- Sensitive to Any El. Field w/Terminals

### Application:

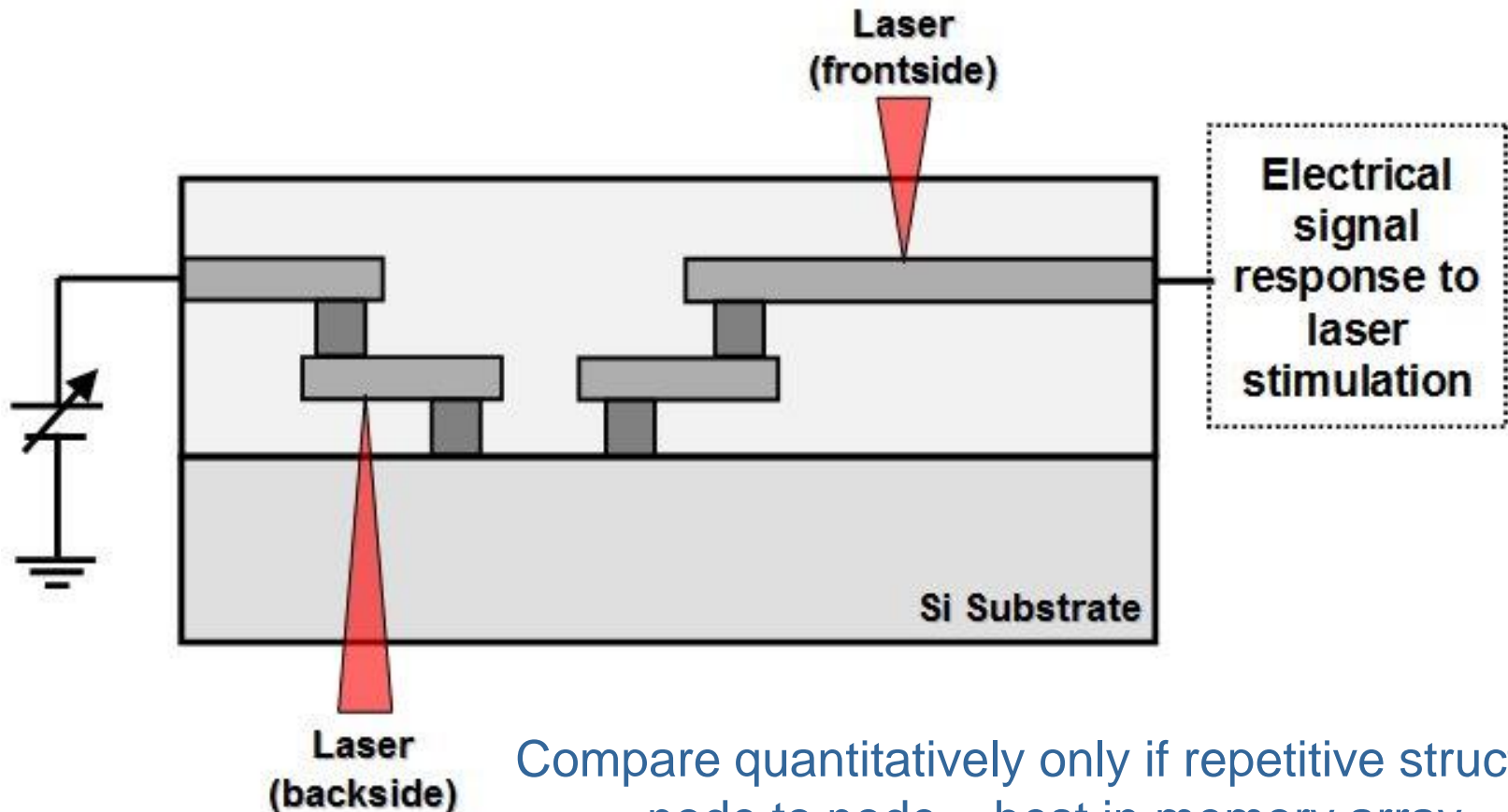
- Test Structures
- Input / Output Structures
- Latch up



# Thermal Laser Stimulation (TLS): OBIRCH / TIVA

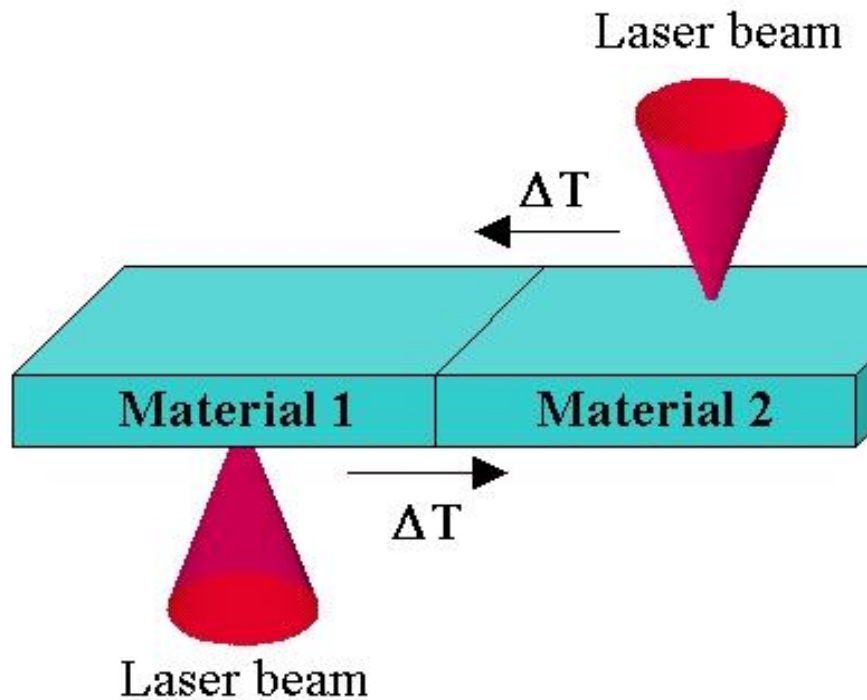
OBIRCH = Optical Beam Induced Resistance Change

TIVA = Thermally Induced Voltage Alteration



Compare quantitatively only if repetitive structure  
node to node = best in memory array

# Thermal Laser Stimulation (TLS): Thermoelectric (Seebeck) Effect

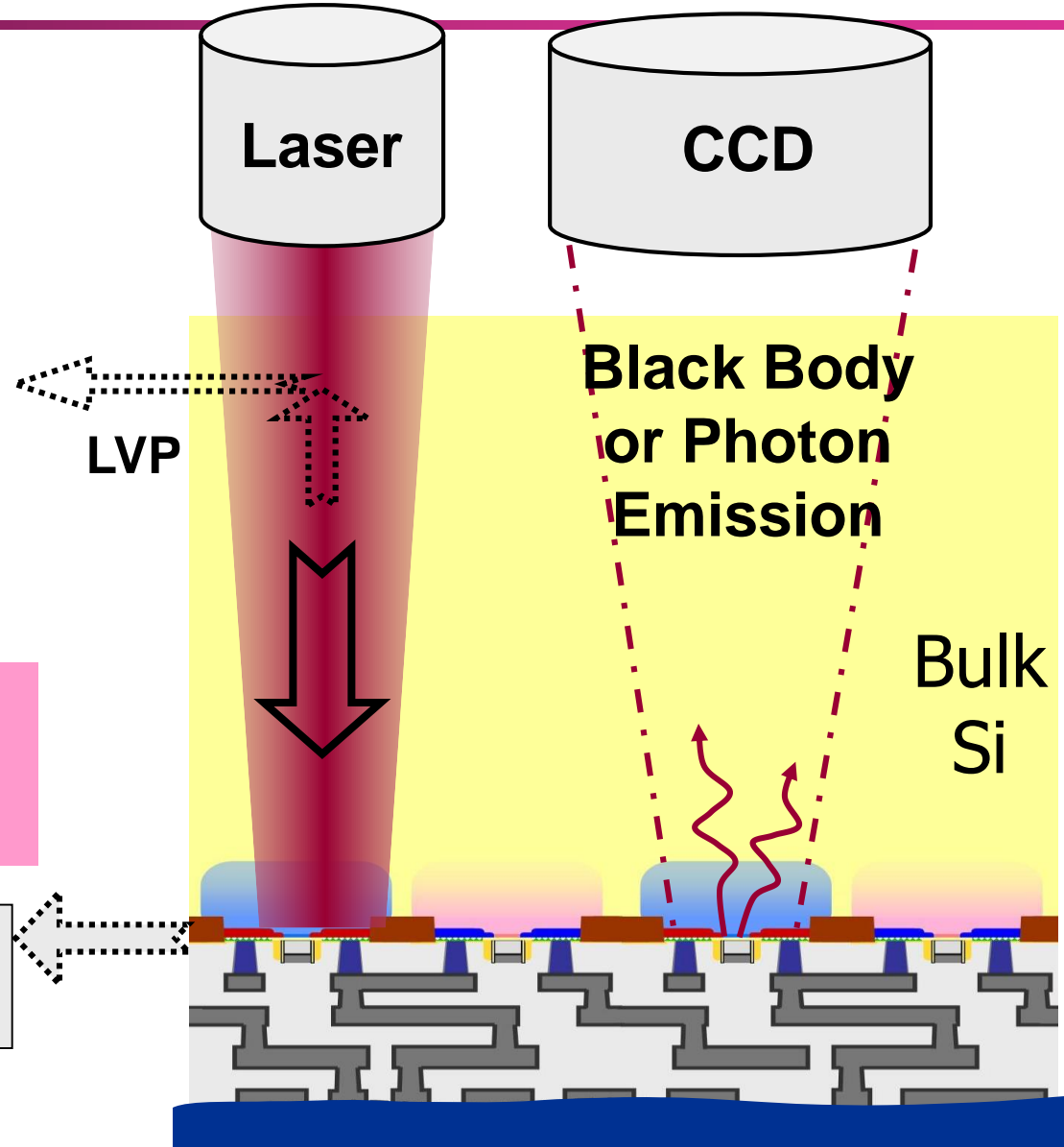


Material or junction	S [ $\mu\text{V/K}$ ]
Al	-3.5
Cu	6.5
W	3.6
Al / n+ Si	287
Al / p+ Si	-202

$$\Delta V = (S_1 - S_2) \Delta T = S_{12} \Delta T$$

# Optical Backside Circuit Analysis

- Time Res. Photon Emission:  
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- Laser Stimulated  
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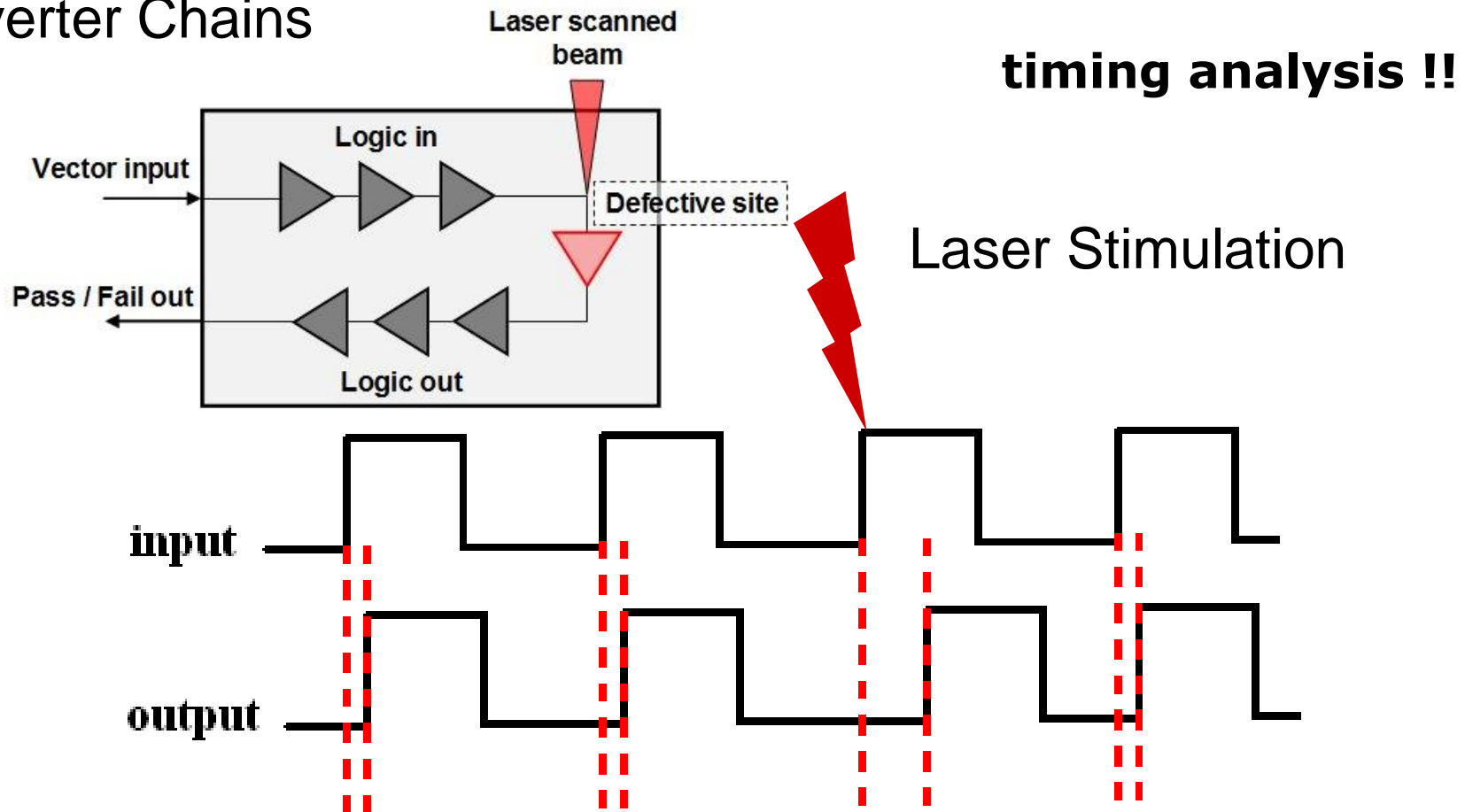


# Laser Stimulated Delay Variation, Fault Injection: LADA, SDL

LADA = Laser Assisted Device Alteration by photocurrents

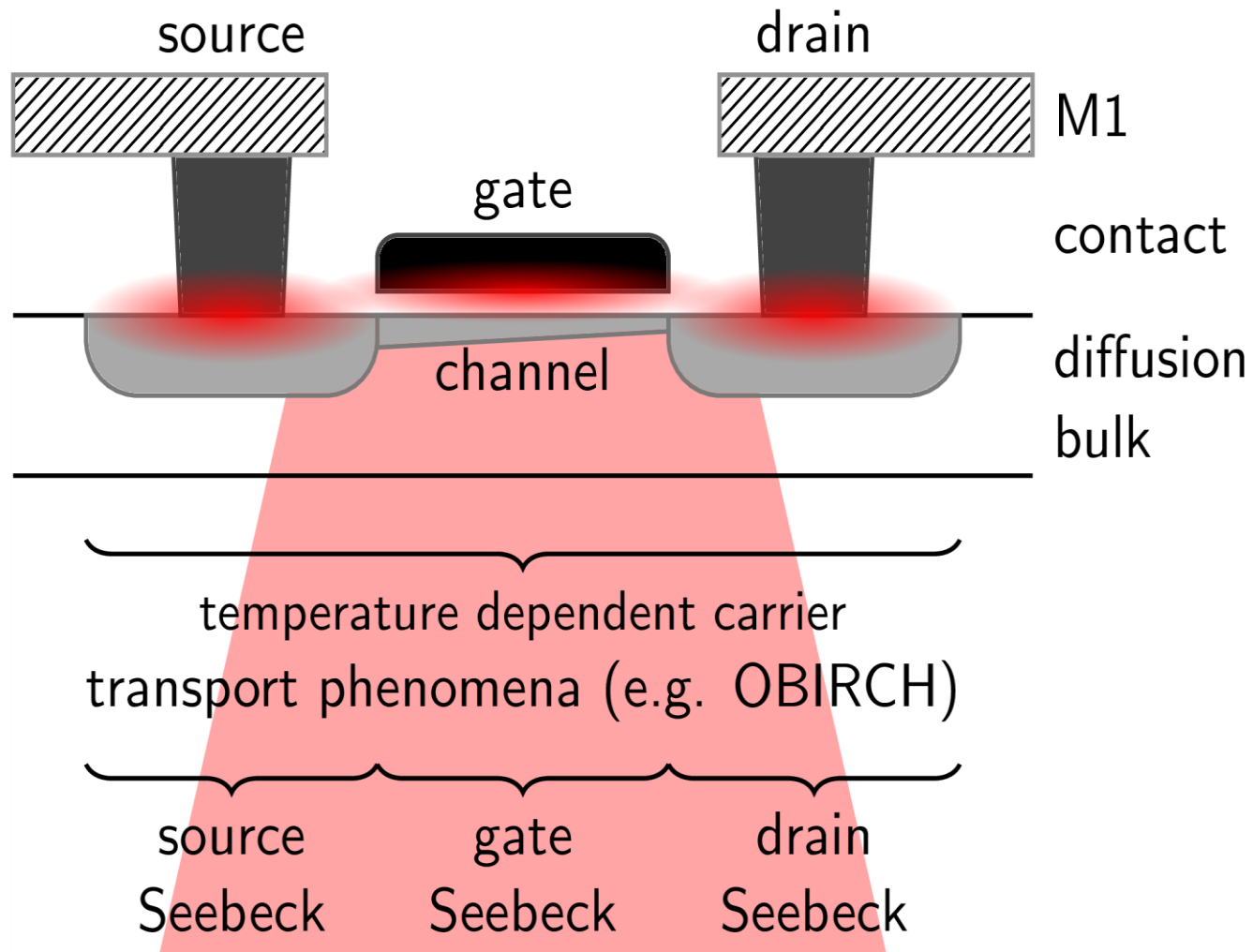
SDL = Soft defect Localization by Thermal Stimulation

## Inverter Chains



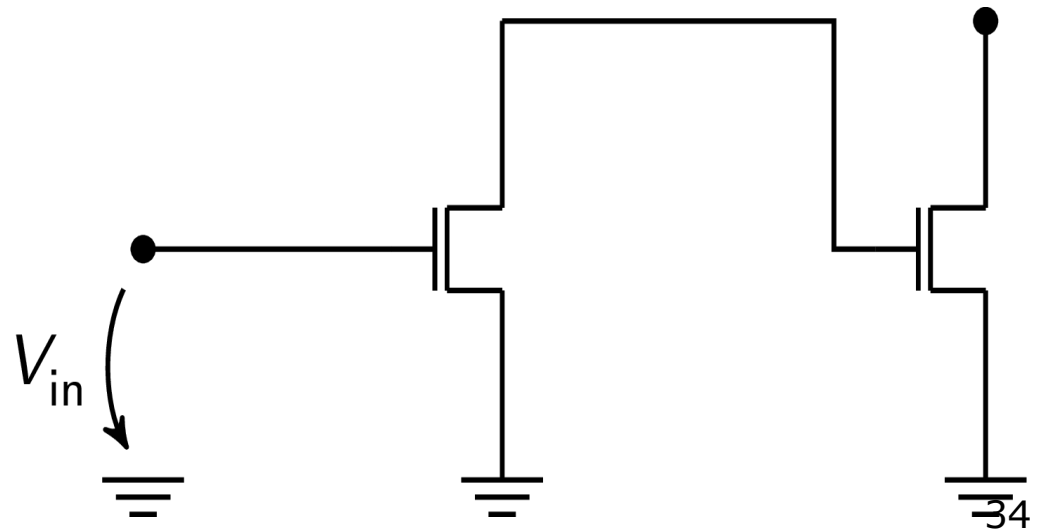


# Thermoelectric Stimulation of FET



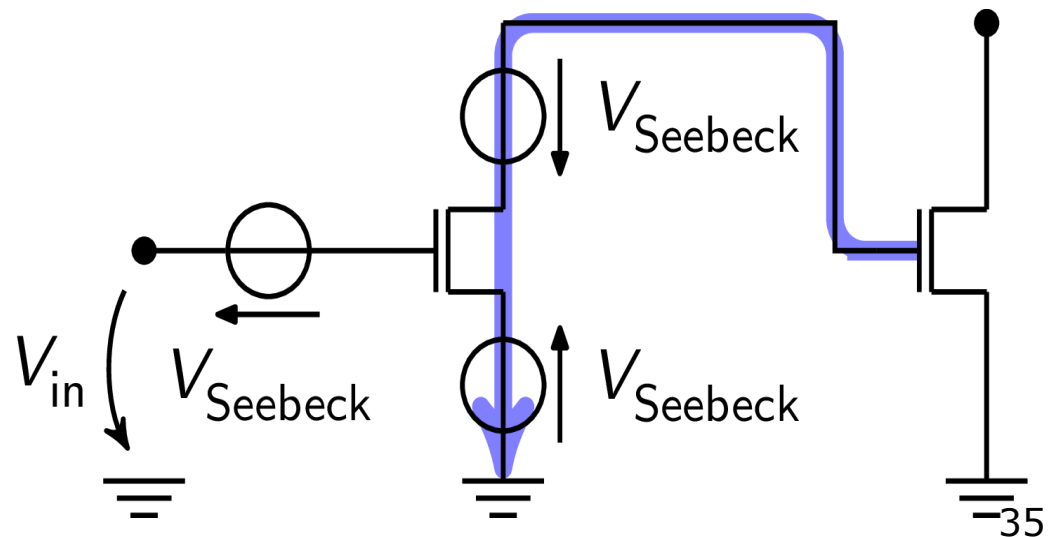
# Thermoelectric Stimulation of SRAM Flip Flop

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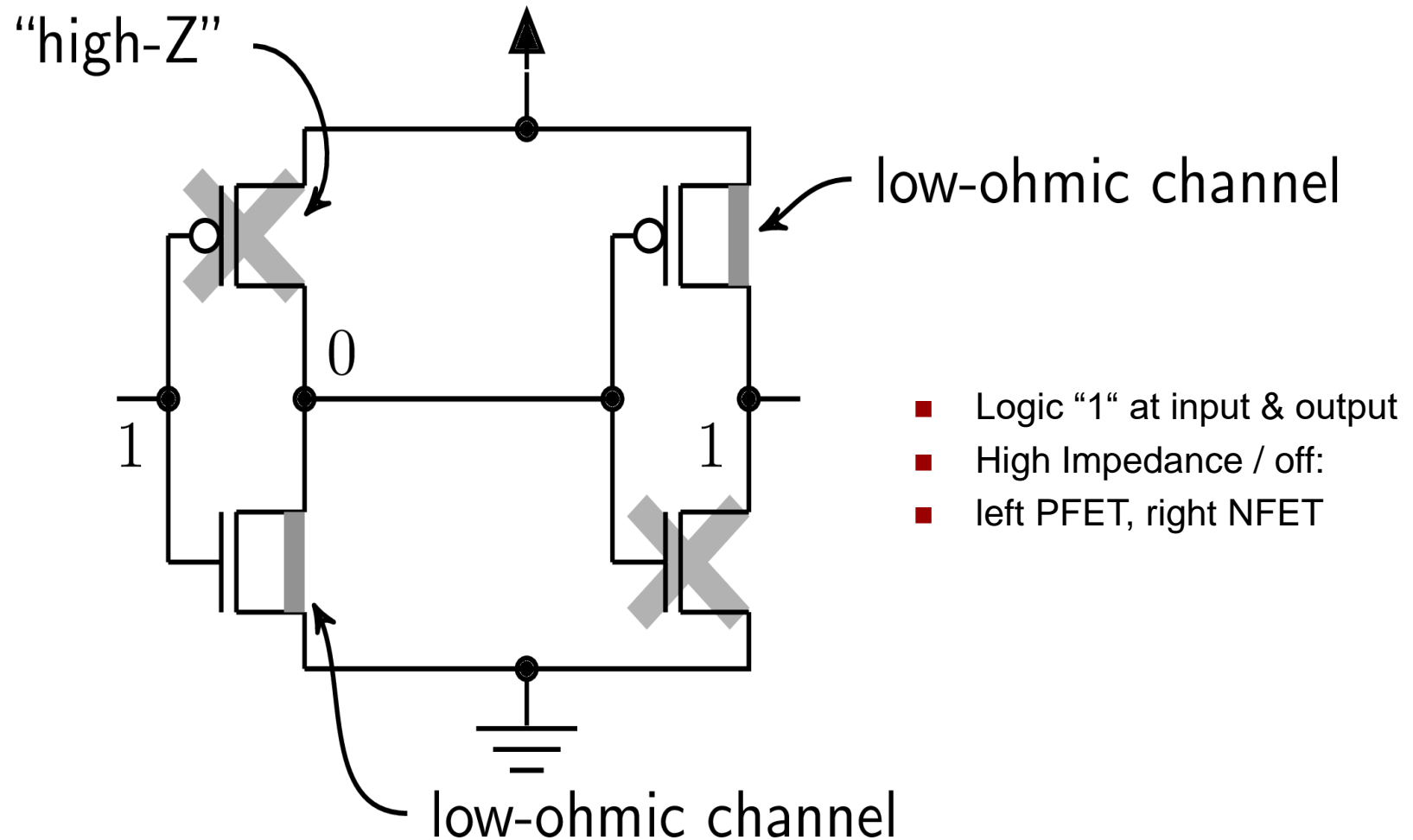


# Thermoelectric Stimulation of SRAM Flip Flop

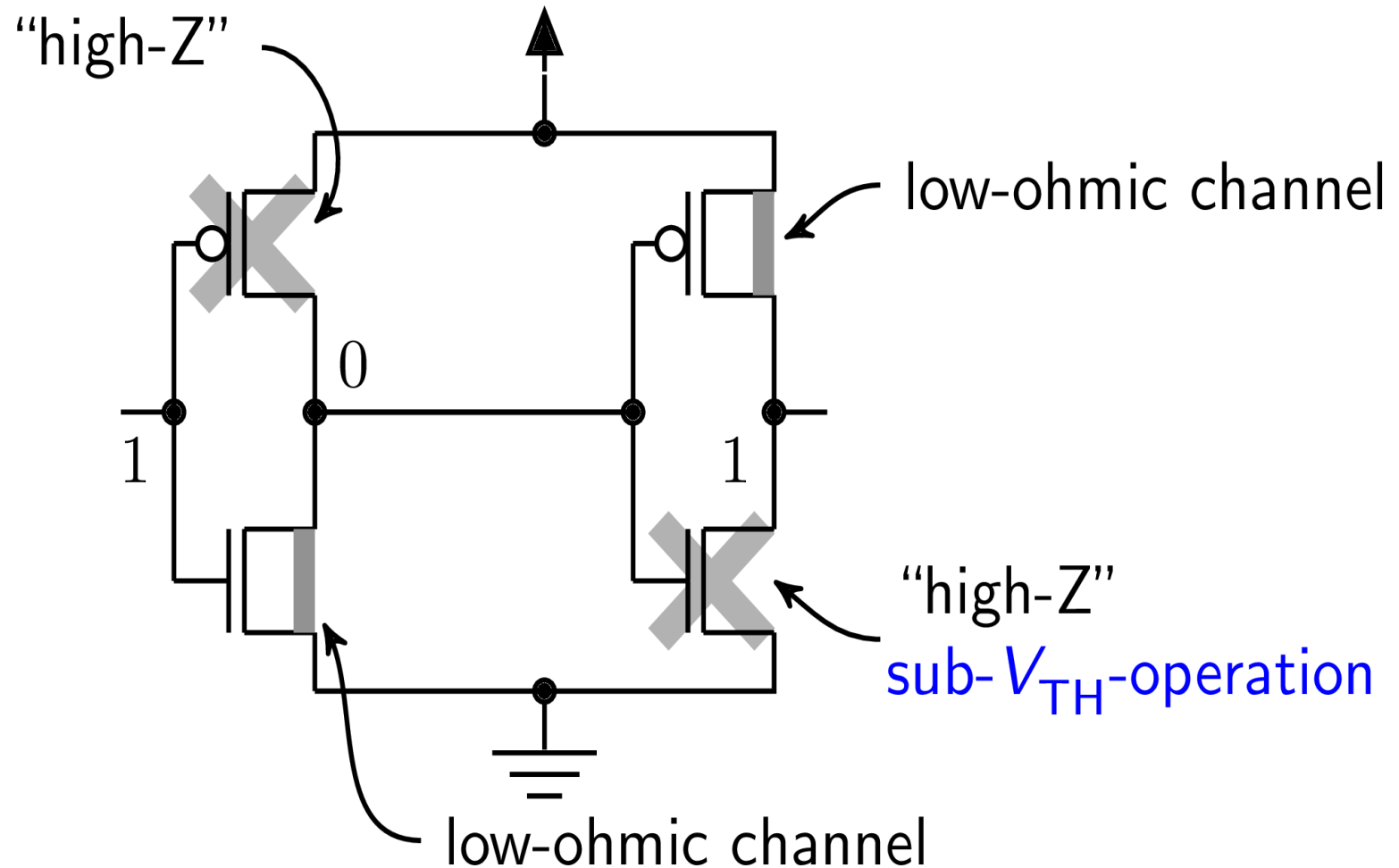
- Seebeck at Gate adds up to input voltage
- Seebeck at Drain **adds to** output voltage  
Seebeck at Source **reduces** output voltage
- Source Seebeck increases input voltage and increases bulk potential (nmos)
- Bulk Seebeck increases bulk potential (nmos, probably on multiple transistors simultaneously)



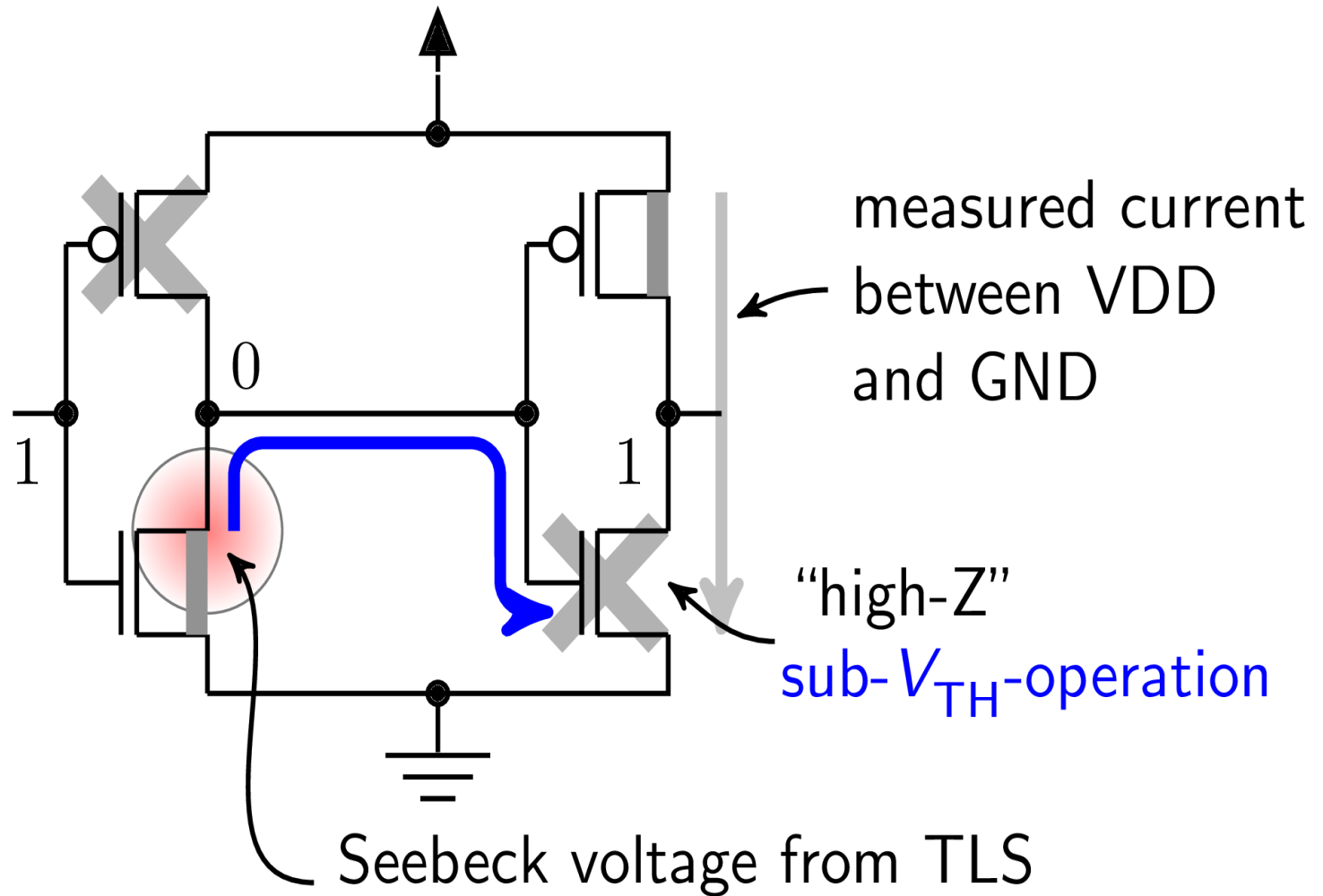
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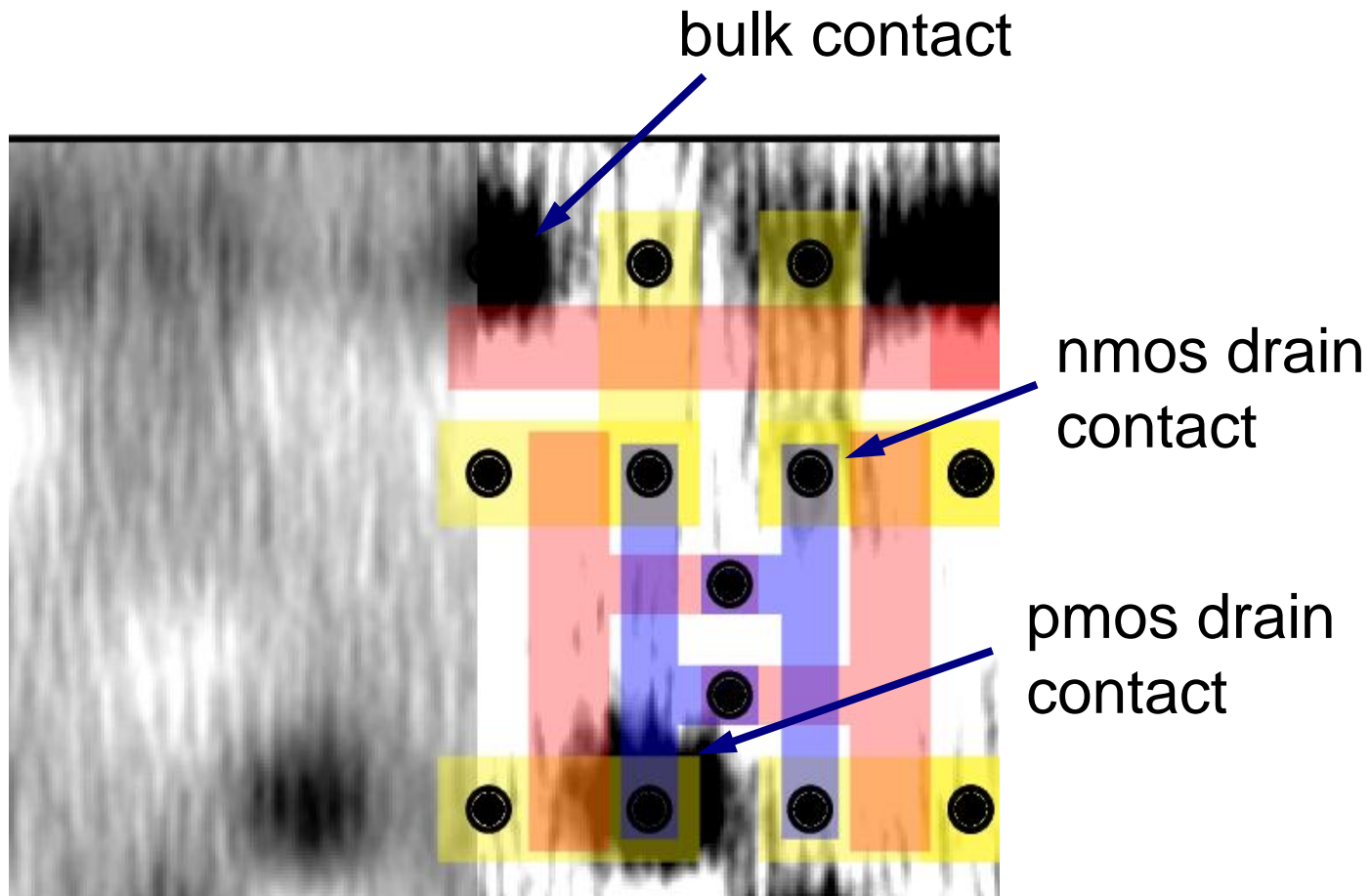


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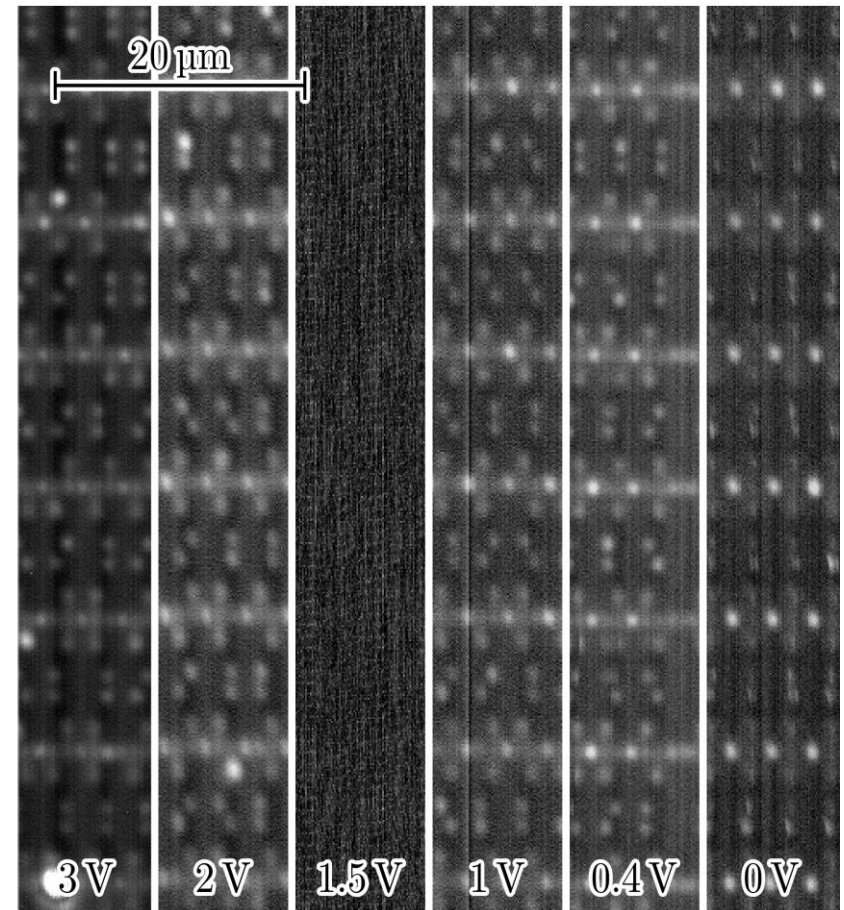
# Contactless Read out of Logic SRAM State

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# Contactless Read out of Logic SRAM State / No Clock

- Simple Structure in Digital Circuits
- Repeated Layout is 100% regular
- Result Shows Irregular Pattern only for Powered Circuit
- Pattern is Data Dependent



1.5V: Logic running, non-static current consumption distorts measurement<sup>40</sup>



# In A Nutshell

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- Contactless signal tracking mandatory in IC development & FA
- Contactless signal tracking = Physical Interaction
- Today physical interaction needs to access chip through backside = optical techniques play major role
- Backside access allows to compare signal quantitatively = new level of precision in signal reconstruction
- When debug and FA can access each electronic information in IC, these techniques are an enormous risk for IC security attacks

# Outline

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Why contactless Fault Isolation in ICs

Technology Node and CFI Evolution

The Benefits of CFI Backside Approach

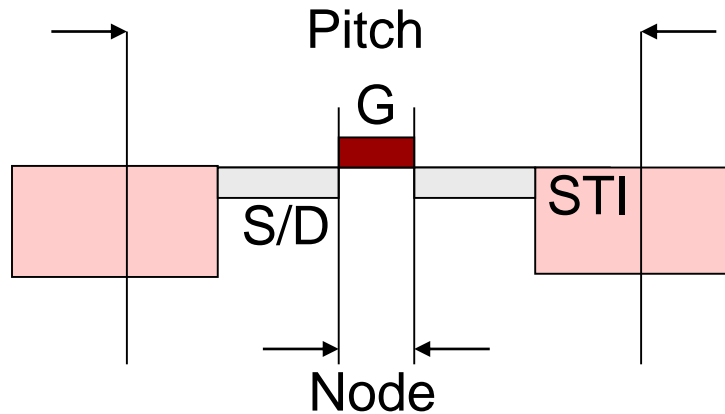
Relevant CFI Techniques and Attack Risks

IoT Roadmap: Nanoscale FinFET & Low Power

CFI will prevail and the Attack Risk with it

Backside Protection

# CFI Resolution Required for Nanoscale Technologies



CFI requires to resolve pitch  
Pitch ca 3.5-8x min. feature size

ITRS 2.0 2018:

Tech Node	Pitch	Year
45nm	160nm	2007
32nm	112nm	2010
22nm	90nm	2012
14nm	70nm	2014
10nm	64nm	2017
7nm	50nm	2018
5nm	40nm	2020
3nm	32nm	2024

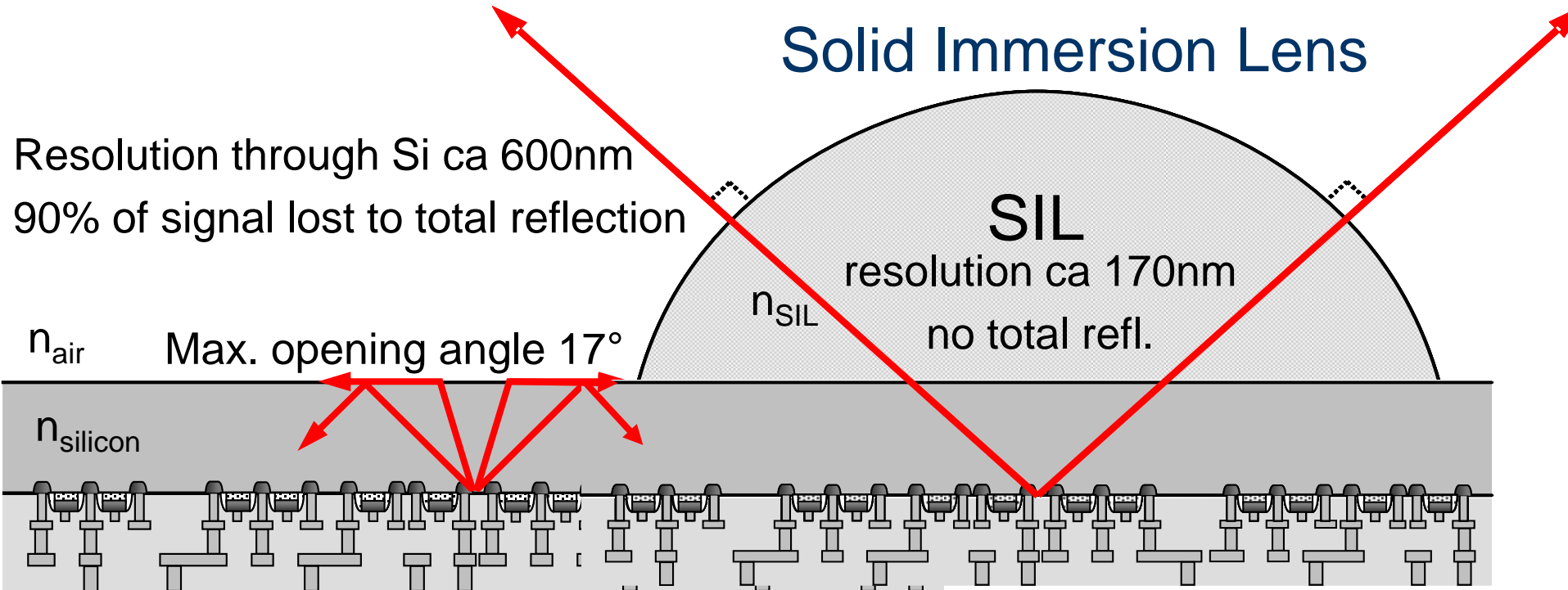
# CFI Resolution

$$R = 0.61\lambda/NA \quad NA = n \times \sin\alpha$$

$\lambda$ : Light wavelength (NIR:  $\approx 1\mu\text{m}$ )

NA: Numerical aperture

$n$ : Index of refraction (Air = 1, Si = 3.5)     $\sin\alpha$ : Aperture of Objective ( $<1$ )



**SIL increases resolution... !! and signal intensity !!**

# But: There is some tolerance...

## Discussion of required resolution by Intel @ ISTFA 2015

NIR is good  
for...

	Technology node				
	32nm	22nm	14nm	10nm	7nm
Lens NA	1.4 (LIO)	2.6 (SIL)	3.0 (SIL)	3.3 (SIL)	TBD
Optical resolution @ $\lambda = 1500$ nm	654 nm	352 nm	305 nm	277 nm	TBD – new solution needed
@ $\lambda = 1064$ nm	464 nm	250 nm	216 nm	197 nm	TBD
Contacted gate pitch	112.5 nm [2]	90 nm [3]	70 nm [1]	~64 nm [4]	~50 nm [4]
FI capability	Fair	Very good	Good	Fair	TBD

F (1500)=	5.81	3.91	4.36	4.32	5.54
F(1064)=	4.12	2.77	3.08	3.07	3.94

Diffraction-limited  
resolution  $\delta$ :

$$\delta = \frac{0.61\lambda}{NA}$$

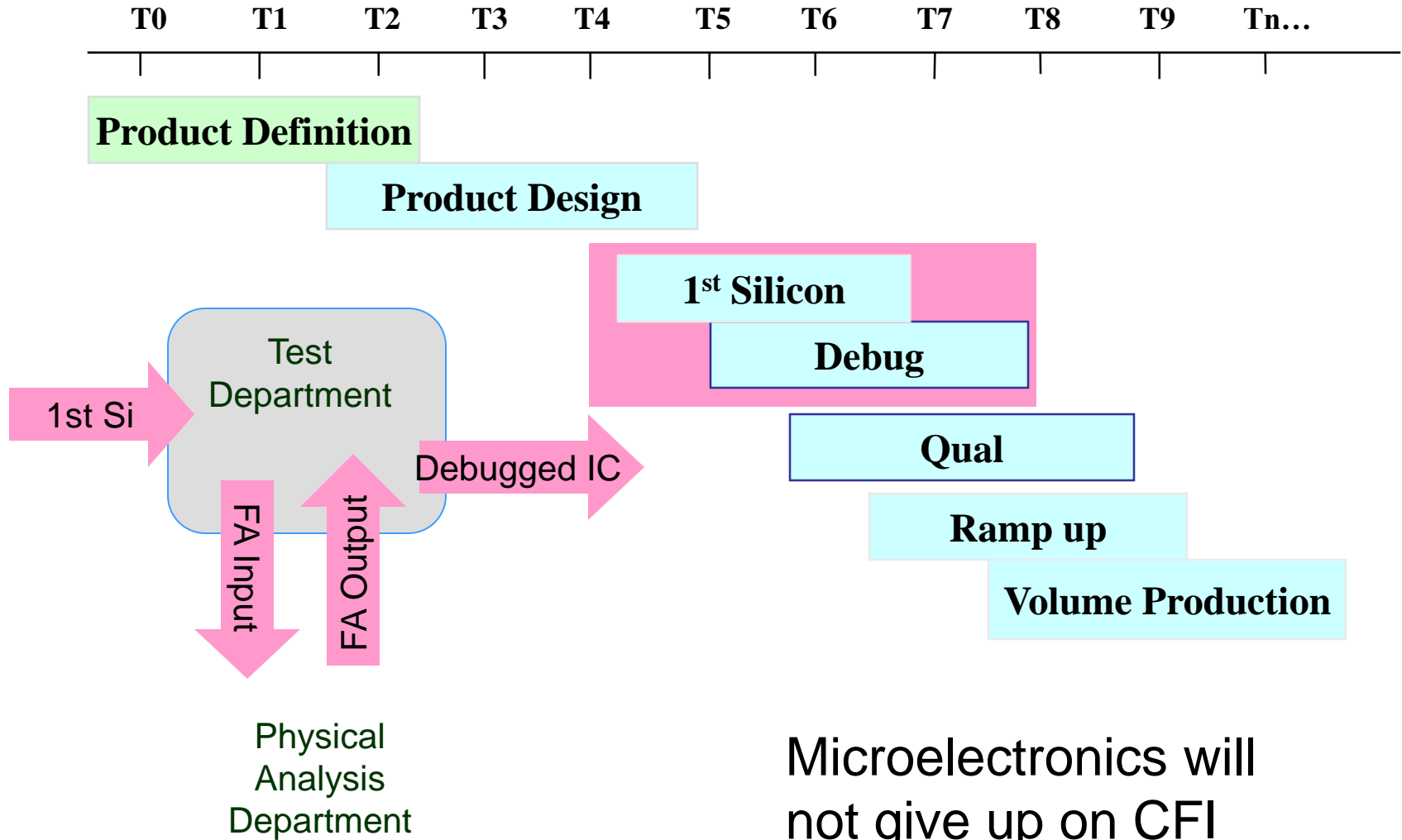
Correlation  
factor F =  
Resol. / Pitch

# What can we expect?

- IoT Technology roadmap hard to predict
- Nominal shrink will use higher device density through 3D
- Drastic VDD drop

ITRS 2.0 Report 2018 (Dimension [nm])				
Tech Node	Pitch	Year	Voltage	Structure
45	160	2007	1V	Planar
32	112	2010	0.9V	Planar / PD SOI
22	90	2012	0.85V	Planar / FD SOI
14	70	2014	0.85V	FinFET / FD SOI
10	48	2017	0.8V	FinFET / FD SOI
7	42	2018	0.7V	FinFET / LGAA
5	32	2020	0.7V	FinFET / L(V)GAA
3	24	2024	0.6V	VGAA /M3D

# Reminder: Role of CFI in IC Development Process



# CFI Trends to Match IoT Requirements

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IoT challenge: Cloud for manufacturing: high data rate

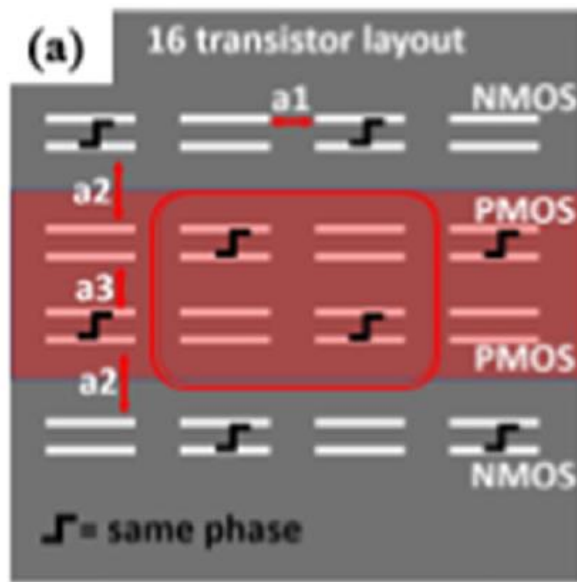
→ Low power, further miniaturization, 3D

– where does the research go?

- Low power:
  - LVP ok
  - Photon Emission?
- Image Resolution:
  - LVP: Shorter Wavelength?
  - Return to E Beam?
- Alternatives / Complimentary?
  - Simulation of signal mix?
  - 3D?



# Nanoscale: Challenge to NIR - CFI



## 14nm RESOLUTION PREDICTION

Fin pitch	48nm
Gate pitch	78nm
Fin width	14nm
Gate width	14nm

$$R = 0.61 \lambda / \text{NA}$$
$$\text{NA} = n \times \sin \alpha$$

Understanding spatial resolution of laser voltage imaging

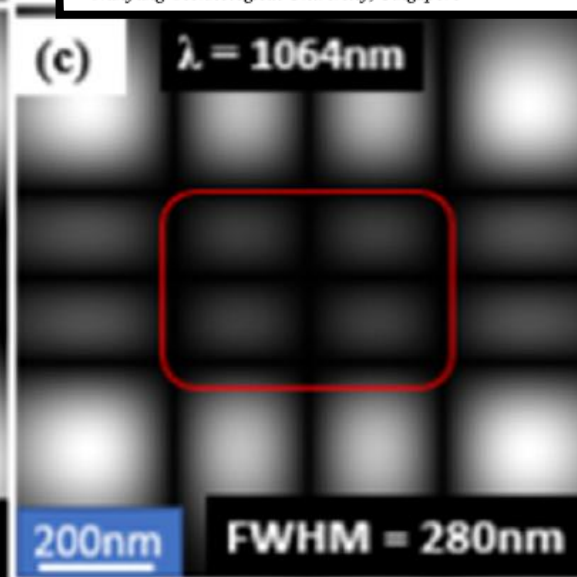
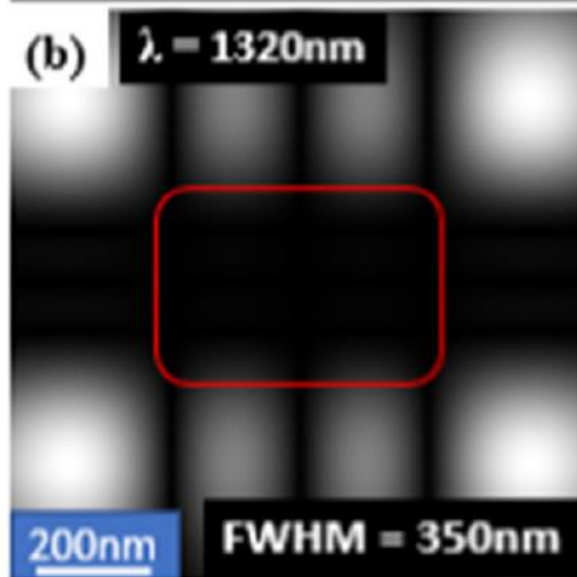
V.K. Ravikumar<sup>a,b,\*</sup>, G. Lim<sup>b,c</sup>, J.M. Chin<sup>b</sup>, K.L. Pey<sup>a</sup>, J.K.W. Yang<sup>a</sup>

<sup>a</sup> Singapore University of Technology and Design, Singapore

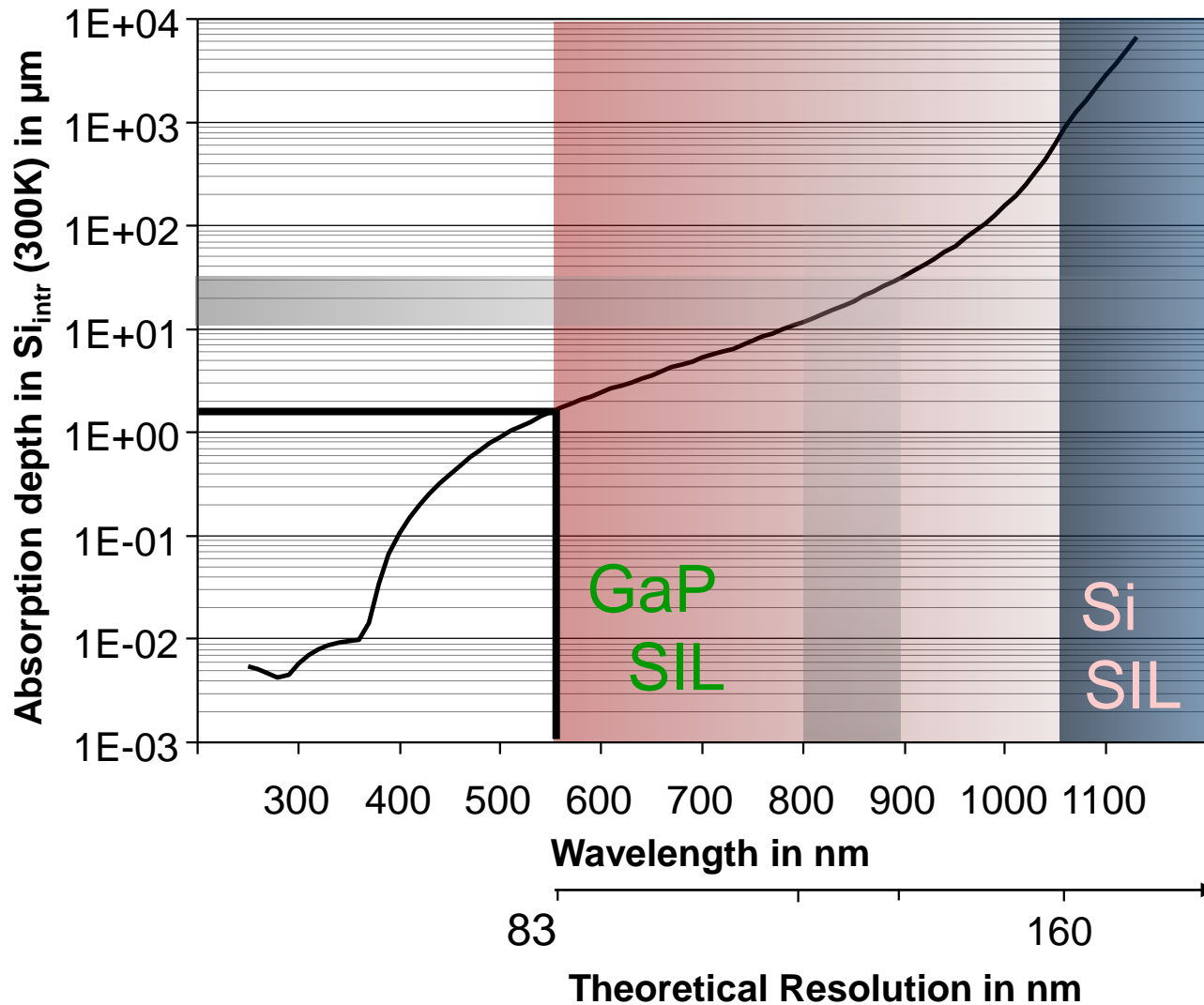
<sup>b</sup> Advanced Micro Devices Singapore Pte Ltd, Singapore

<sup>c</sup> Nanyang Technological University, Singapore

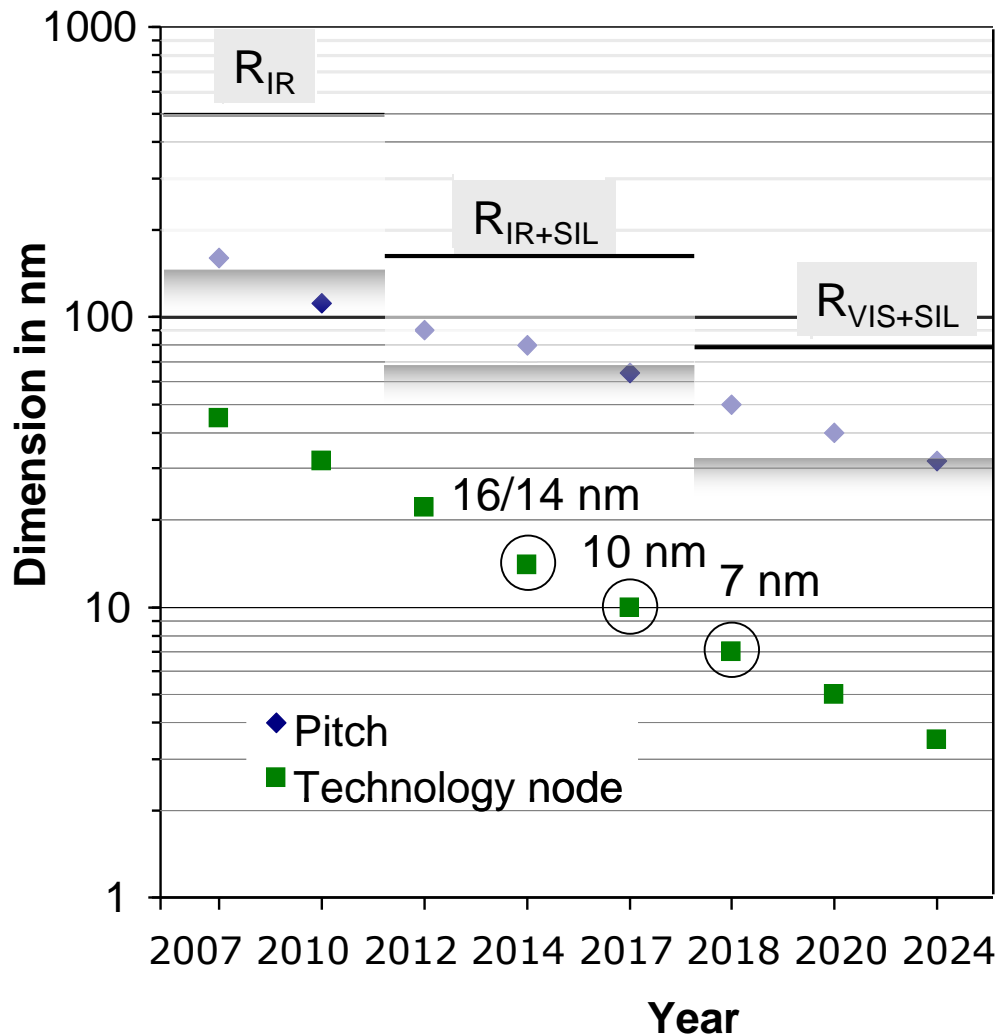
ESREF 2018



# Moderately Shorter Wavelength – GaP SIL



# CFI is ok for 10 more years of Moore's Law – through chip backside with 1-3 $\mu\text{m}$ Si thickness



Resolution is based on  
wavelength and numerical  
aperture

Resolution necessary for  
CFI  $\neq$  pitch  
~200 nm resolution is  
“good” for 14 nm node

# Shorter Wavelength: Bulk Absorption / Sample Thickness

Sufficient transmission through Si good for die thickness of

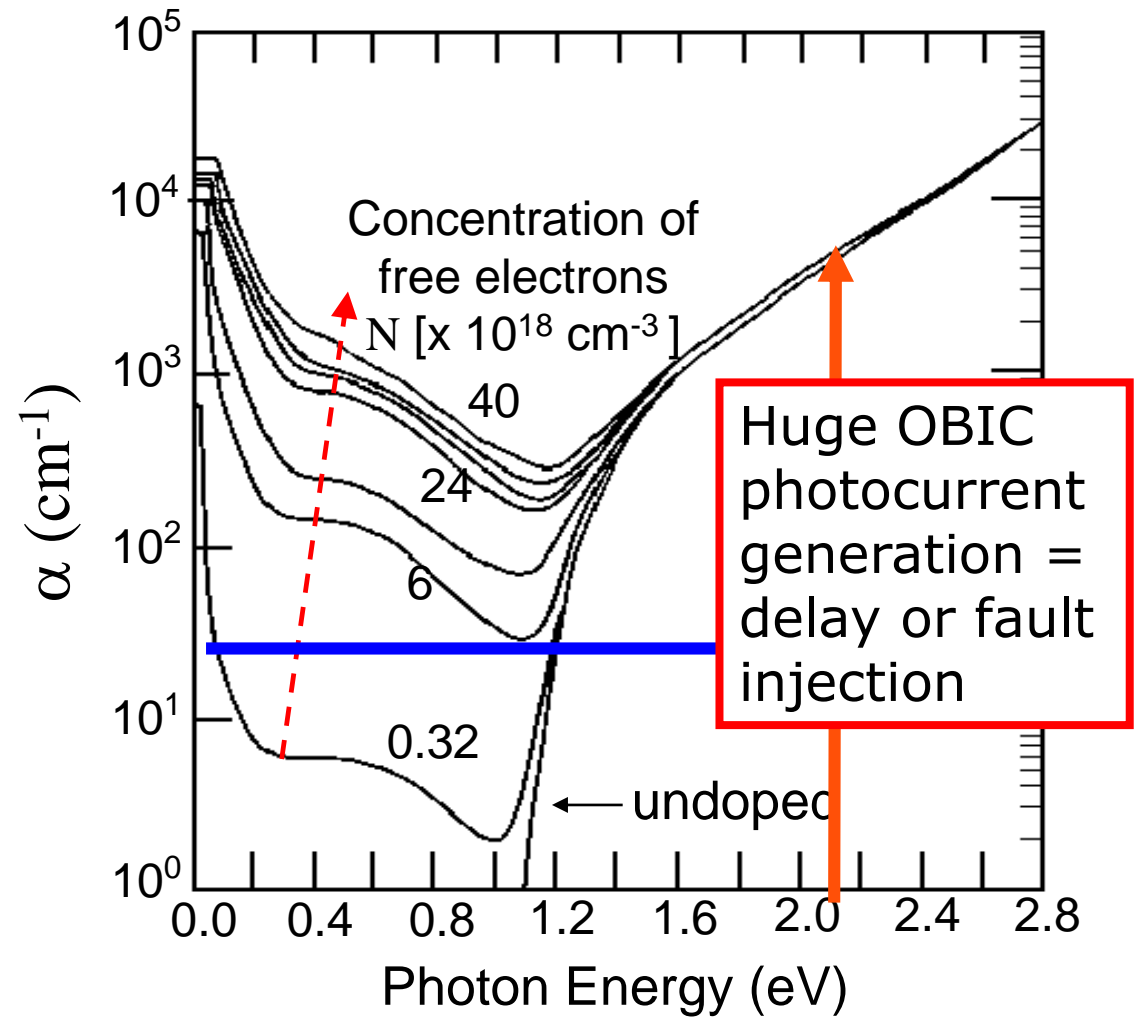
1  $\mu\text{m}$

10  $\mu\text{m}$

100  $\mu\text{m}$

500  $\mu\text{m}$

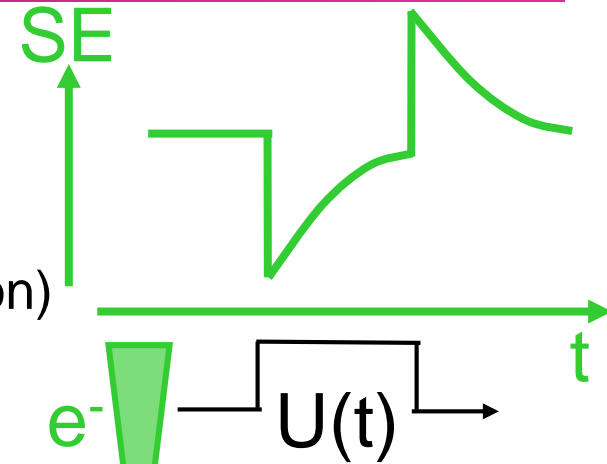
Soref et al., IEEE J. of Quant. Elec., Vol. QE-23, No.1, January 1987



# Backside E Beam Probing on Ultra Thin Si

## Active FET signal over Si

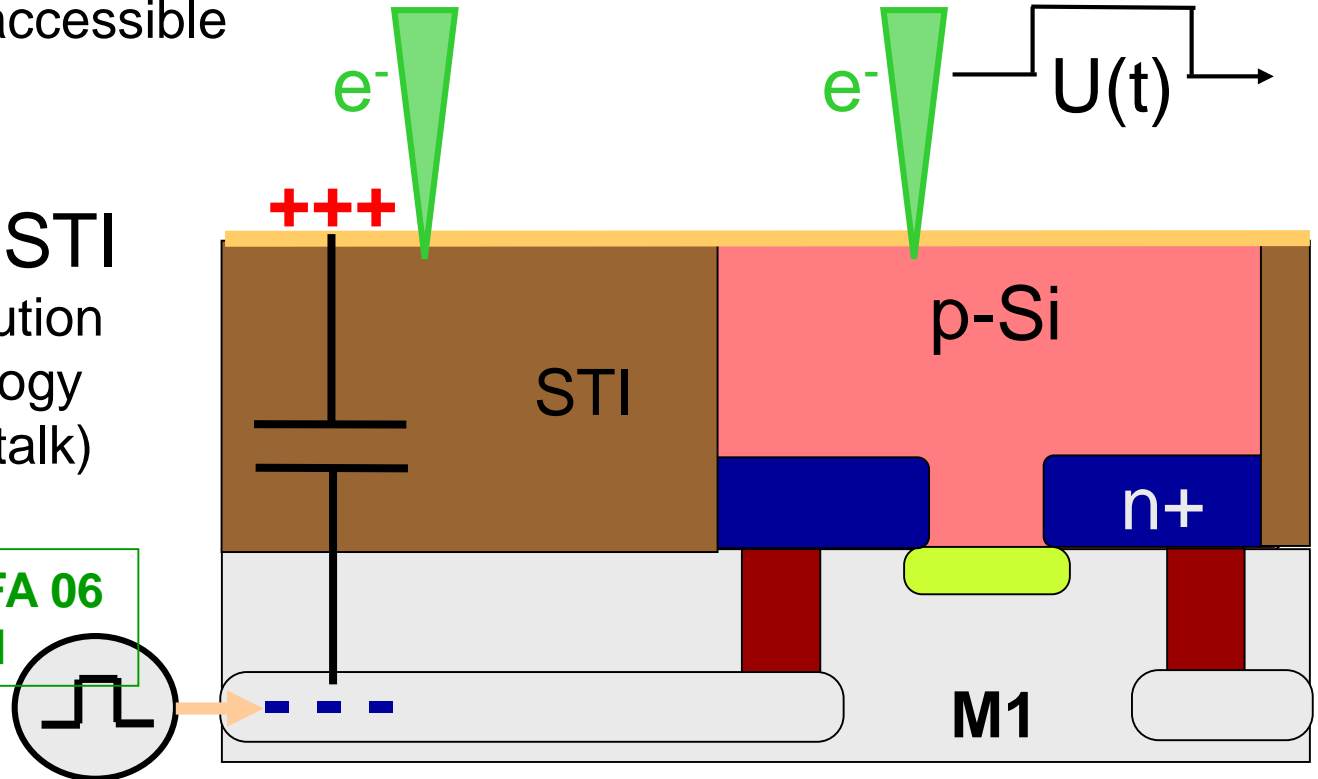
- hardly any resolution limit, as long as the beam can be placed on active area
- very promising for SOI technology (easier preparation)
- every circuit node accessible



## M1 signal over STI

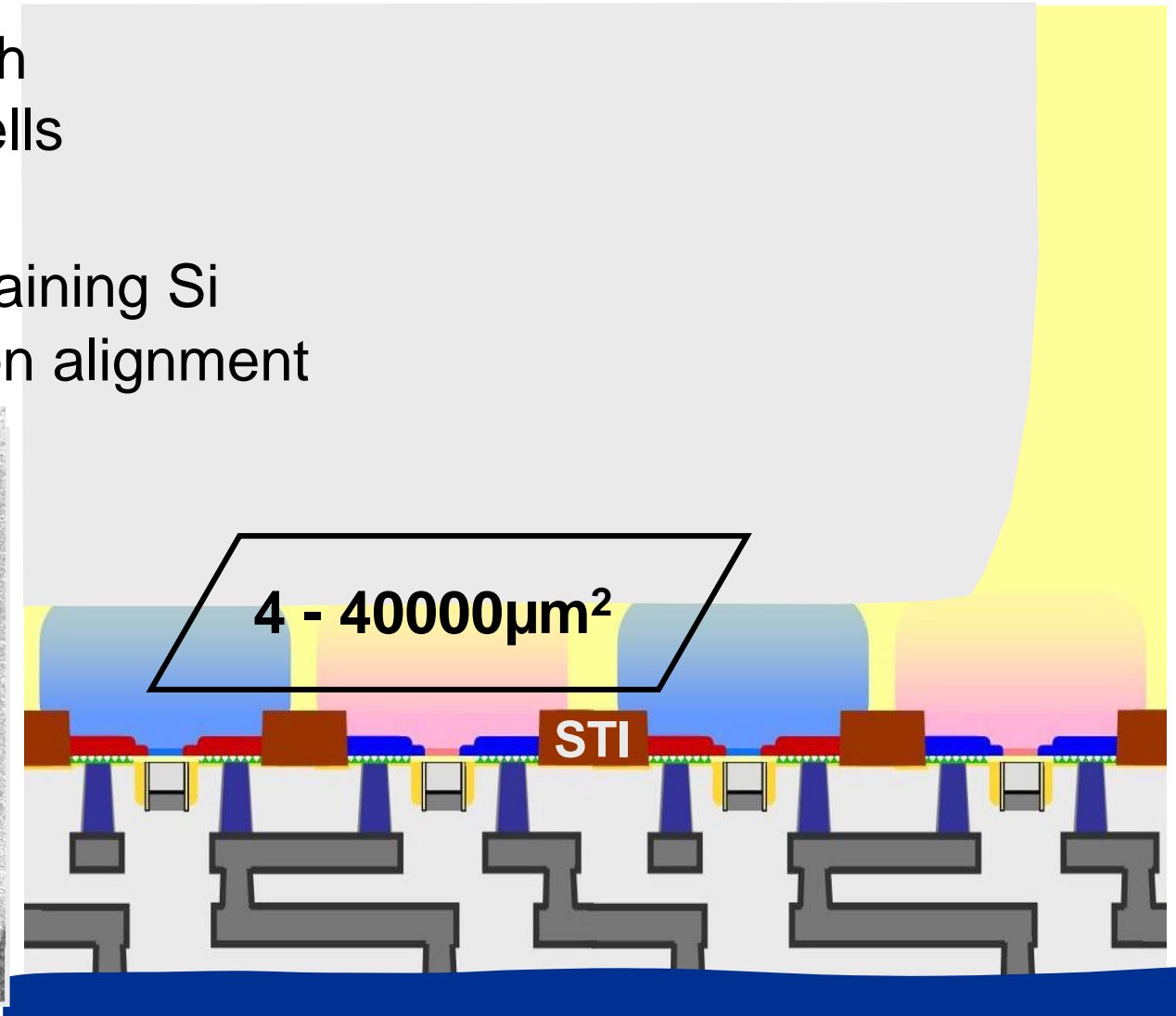
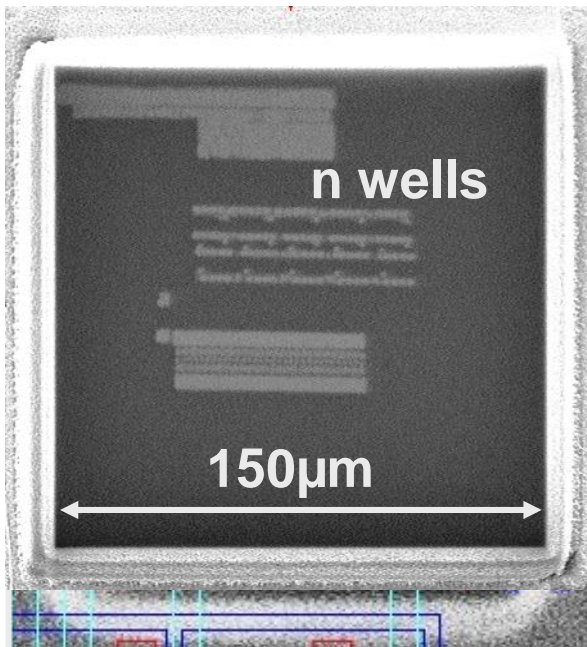
probably facing resolution limit for latest technology (Z-distance / pitch, x-talk)

**TUB Research @ ISTFA 06  
Best Paper Award**



# FIB Backside Circuit Edit Procedure

- mechanical thinning
- localized FIB trench
  - stopping on n-wells
  - stopping on STI
  - < 400nm remaining Si
- local high precision alignment



# Circuit Edit on Ultra thin Silicon

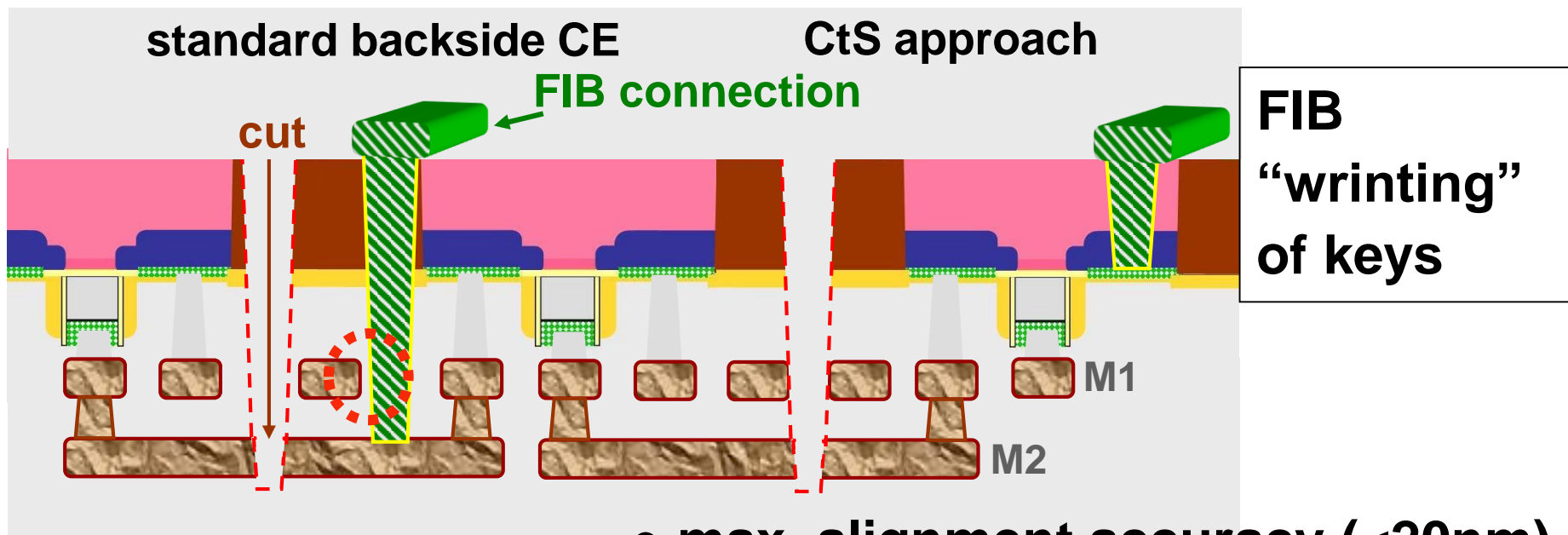
## Contact to Silicide:

- reduced aspect ratio
- low ohmic contacts
- access to any node on Chip
- only "cut" on metal
- CE on device level

## Successfully applied for:

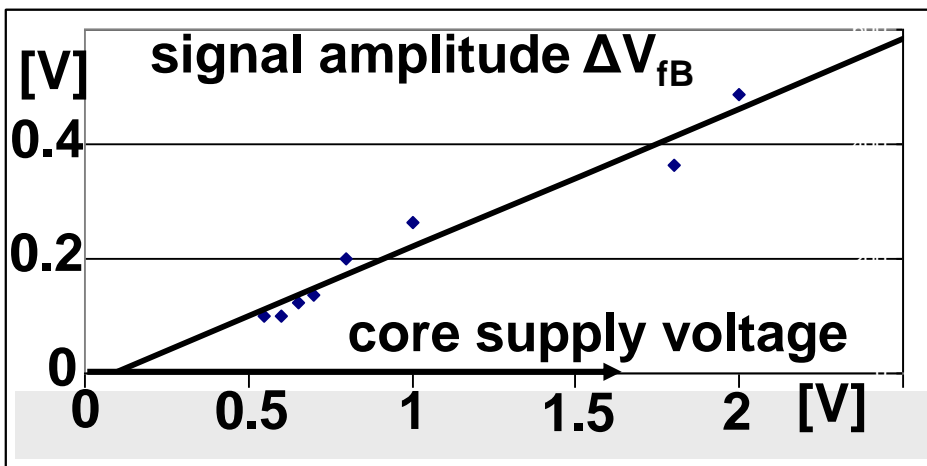
CE, direct probing &  
device characterization  
(backside AFP)

Thinning closer trims device  
performance to desired logic state

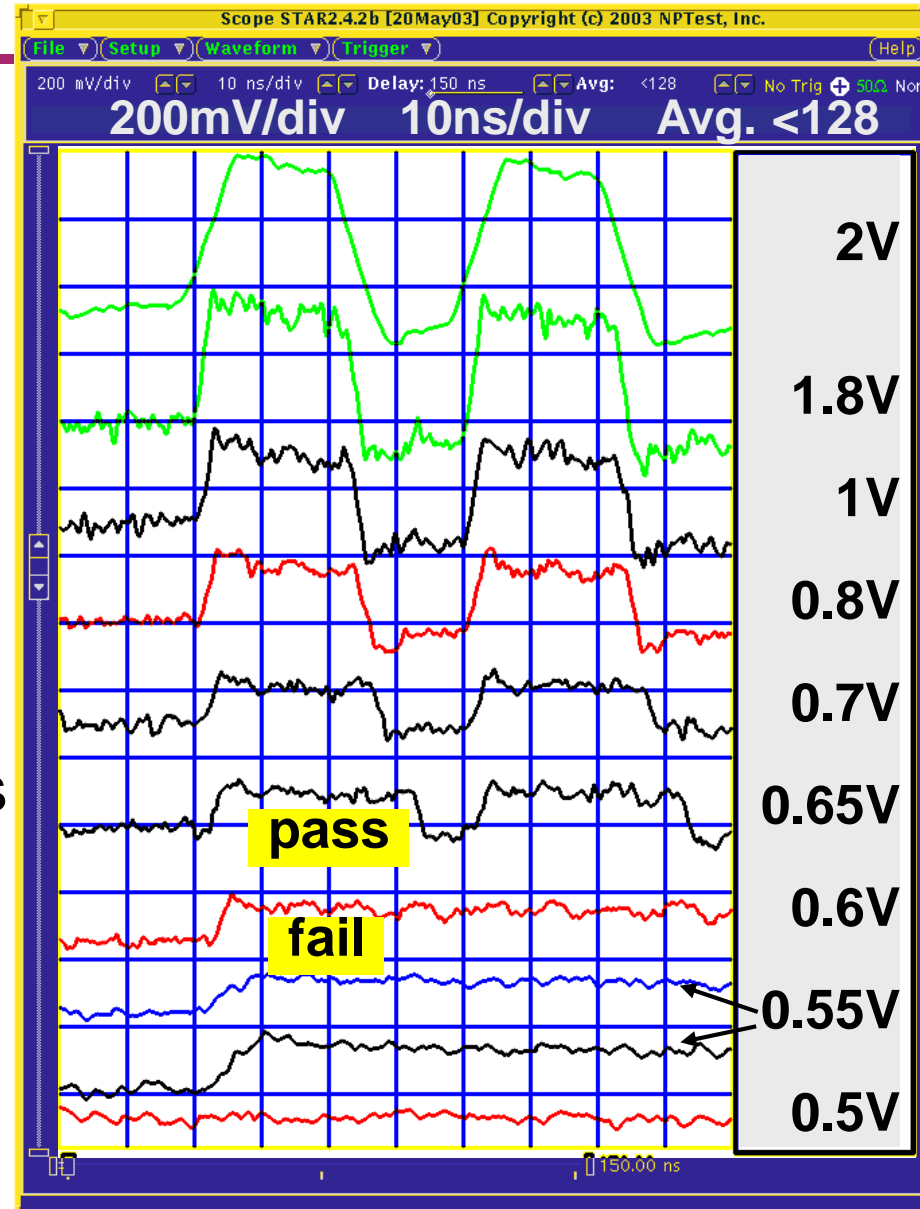


- max. alignment accuracy (<20nm)

# E Beam Probing / Signal Scaling



- linear  $V_{dd}$  scaling
- but: For waveform of 10GHz:  
Minimum 3 electrons per  $10^{-10}$  s  
→ E Beam current  $> 5\mu\text{A}$   
SEMs: max 100nA

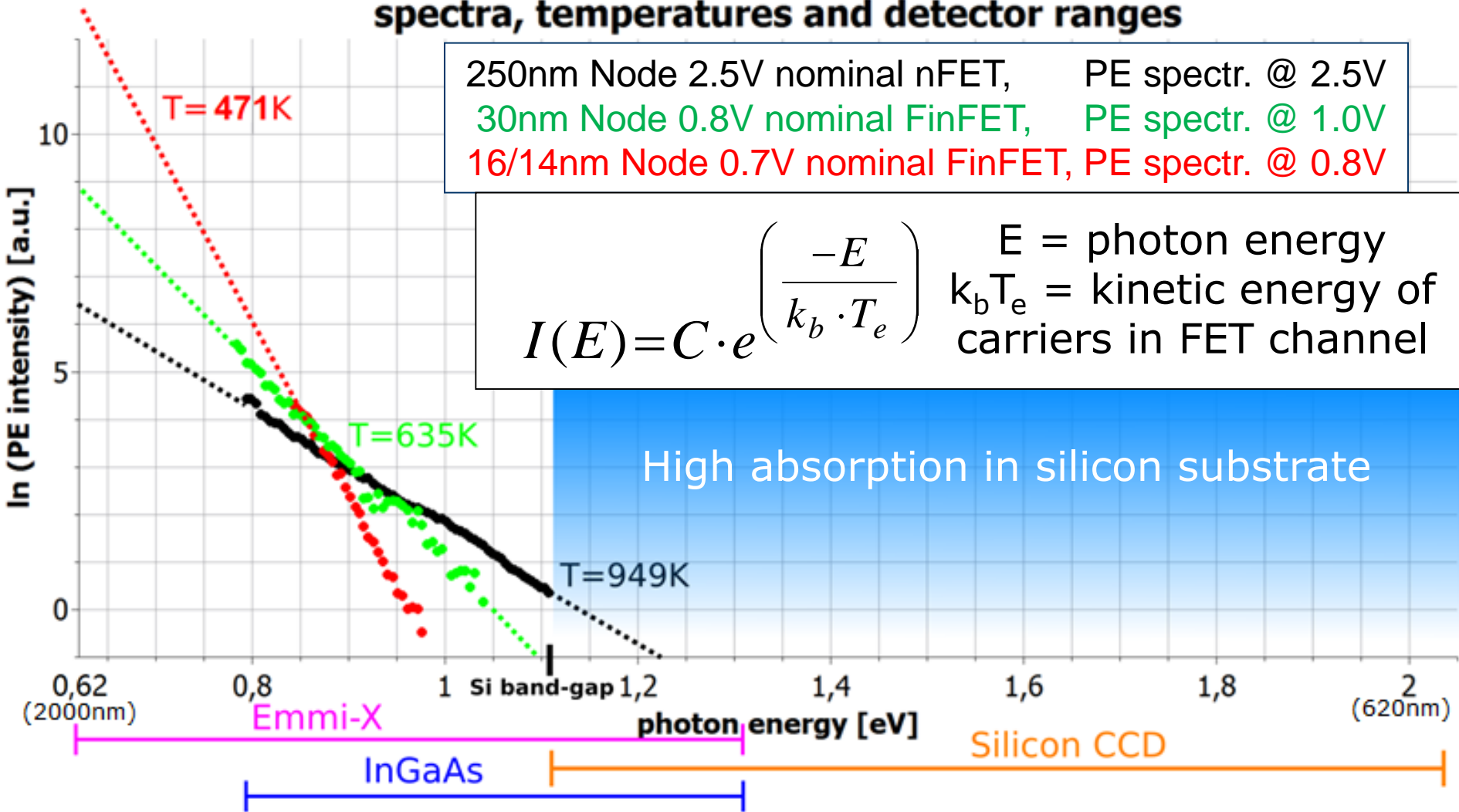


**TUB Research @ IEEE IPFA 07  
Best Paper Award**



# Photon Emission and Low Power Technologies

## spectra, temperatures and detector ranges

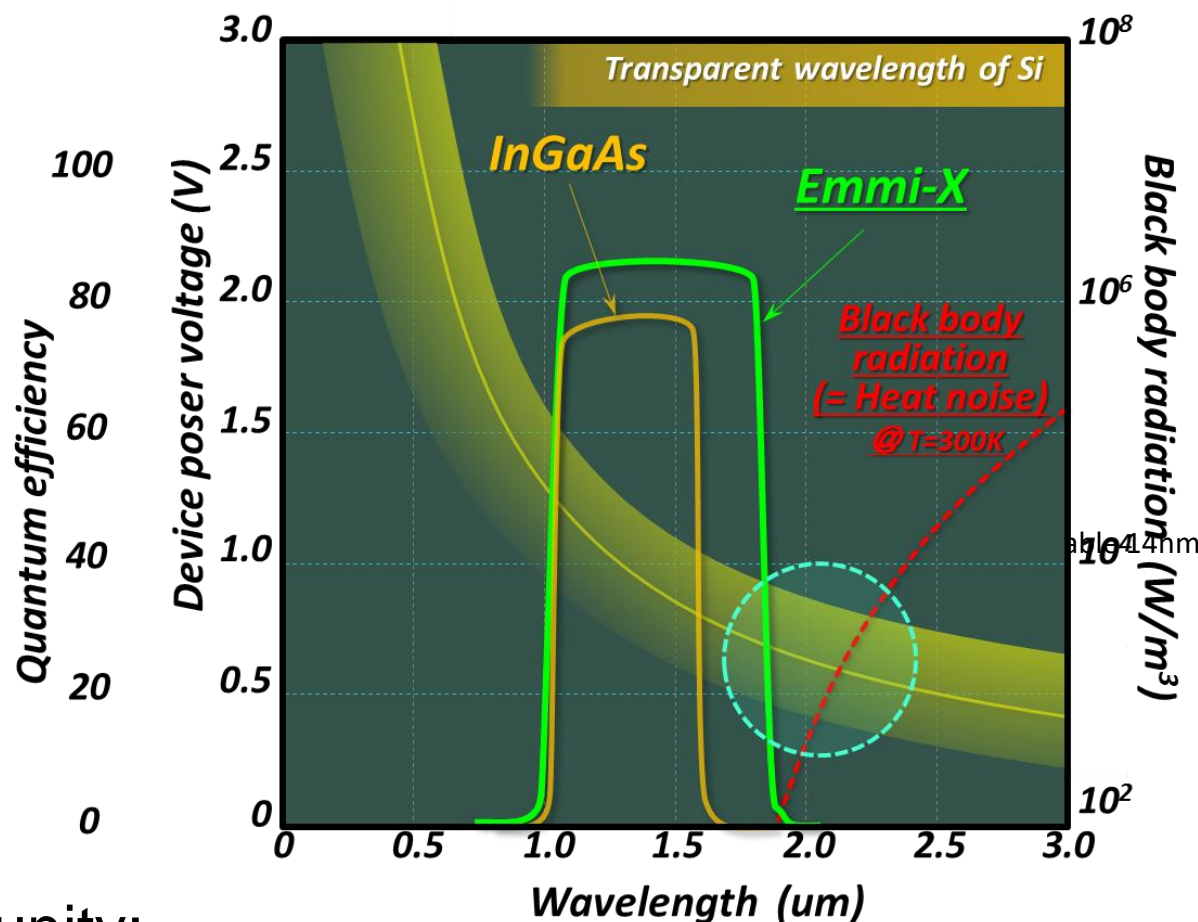


# Expanded Detector Sensitivity into IR for Low Power

High sensitivity  
for low voltage  
device: **< 0.5V**  
**verified**

Low noise by  
selectable  
optimized filters  
High resolution  
1000 x 1000  
pixels

New detector opportunity:  
Superconducting Single Photon  
Detector SSPD, also dynamic



Source: Hamamatsu Emmi-X Camera

# New CFI Techniques for IoT Requirements

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- Low power:
  - LVP ok
  - Photon Emission may work but resolution problematic; only complimentary technique
- Image Resolution:
  - LVP: Shorter wavelength good for resolution but Thin sample + Delay Induction / Fault Injection ?
  - E Beam: Perfect resolution but dynamic probing limited to  $< \text{GHz}$  + electron induced degradation?
- Alternatives / Complimentary?
  - Simulation of signal mix detected in field of view of NIR based CFI techniques?

# Maybe new CFI techniques too complex...

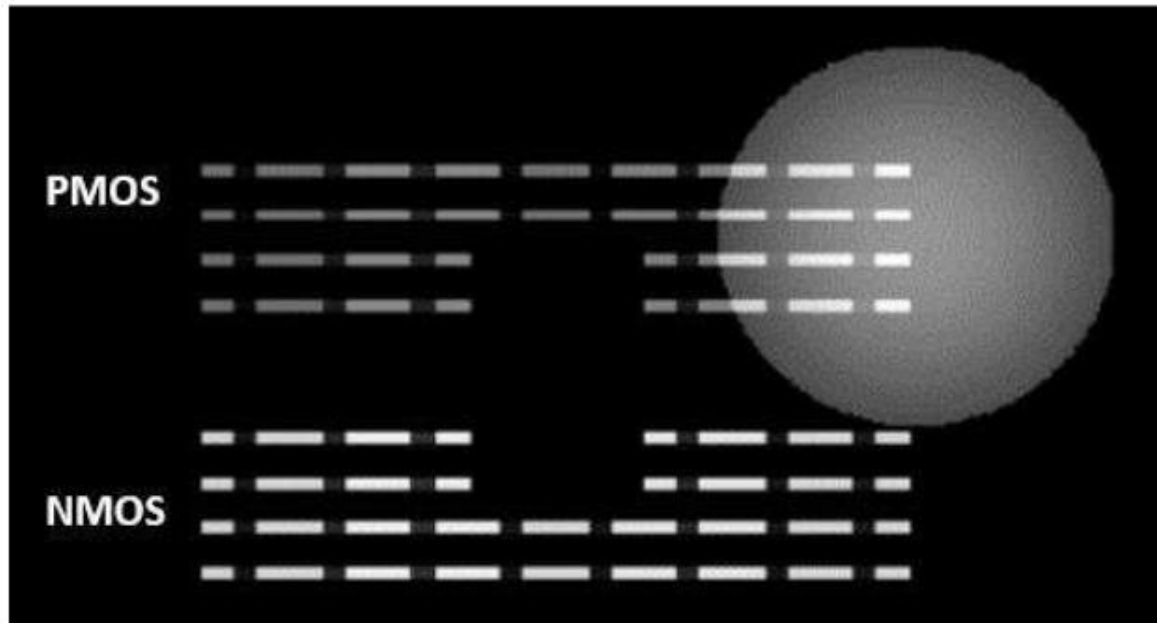
- Then a simulation of the expected signal mix might be a solution....

## Pattern search automation for combinational logic analysis (CLA)

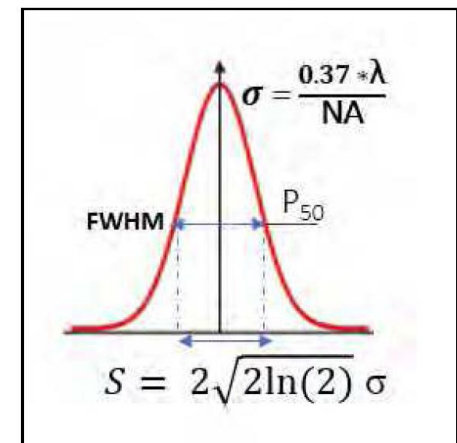
Ravikumar Venkat Krishnan<sup>1,2</sup>, Seah Yi Xuan<sup>1</sup>, Lim Gabriel<sup>1</sup>, Tan Abel<sup>1</sup>, Lua Winson<sup>1</sup>, Gopinath Ranganathan<sup>1</sup>, Phoa Angeline<sup>1</sup>, Chua Choon Meng<sup>3</sup>

1. Advanced Micro Devices, Singapore; 2. Singapore University of Technology and Design, Singapore; 3. SEMICAPS, Singapore

Correspondence email: [Venkat-krishnan.ravikumar@amd.com](mailto:Venkat-krishnan.ravikumar@amd.com)



**Figure 6:** Simulation of the XNOR and the optic probe parked on the PMOS output Z net. The white rectangles are positions of the fin polygons, and the gate polygons are not visible. The white circle is the position of the laser.



**Figure 1:** Simplified model of the optic probe profile relating the probe diameter to the NA and wavelength

# Simulated vs. Measured Laser Probing Signal

(a) Probe placement on CLA

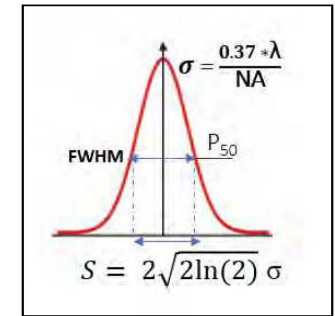
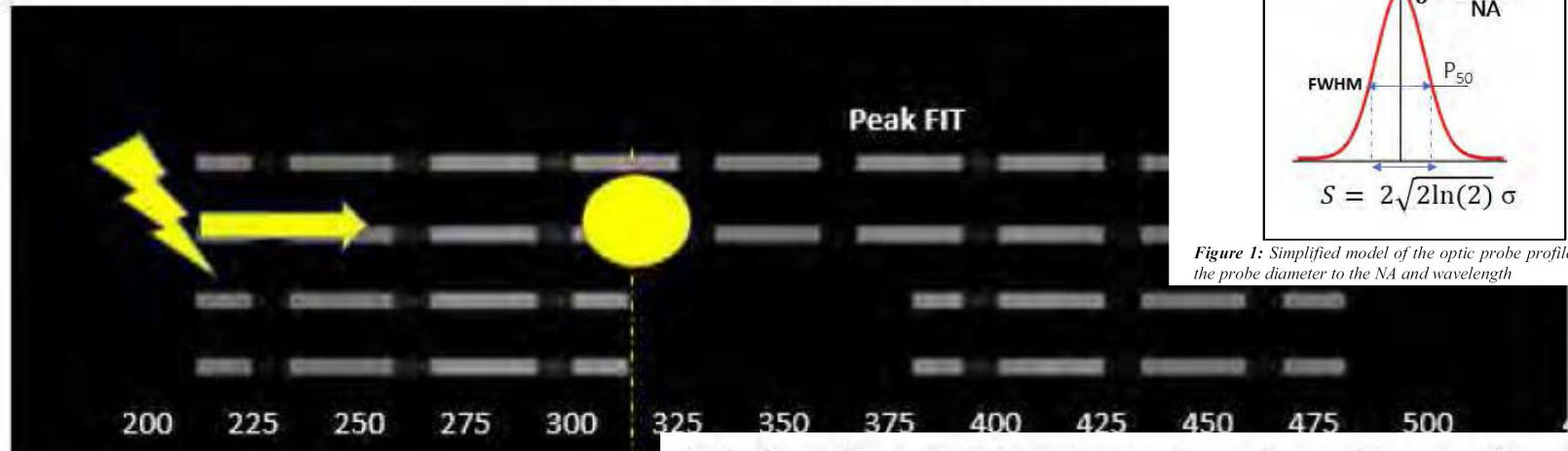
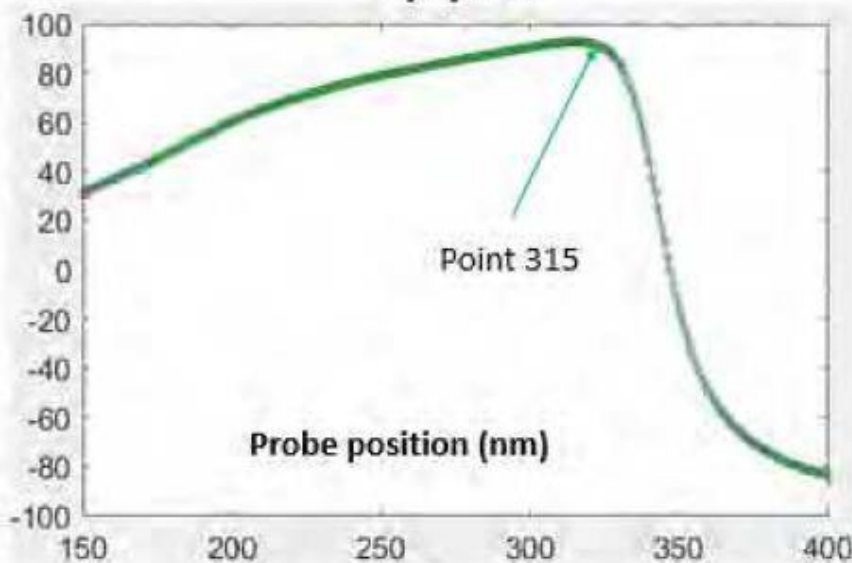
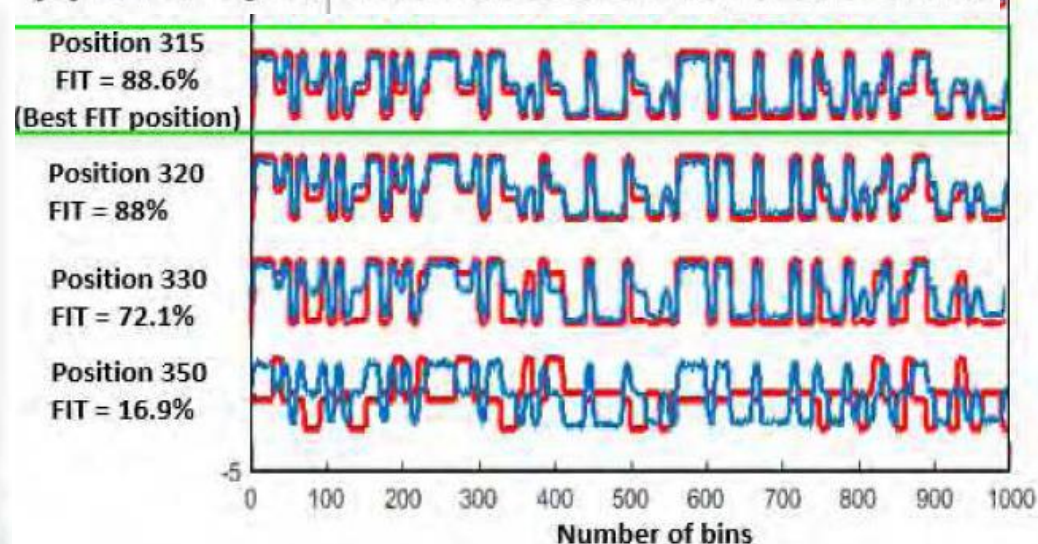


Figure 1: Simplified model of the optic probe profile relating the probe diameter to the NA and wavelength

(b) FIT

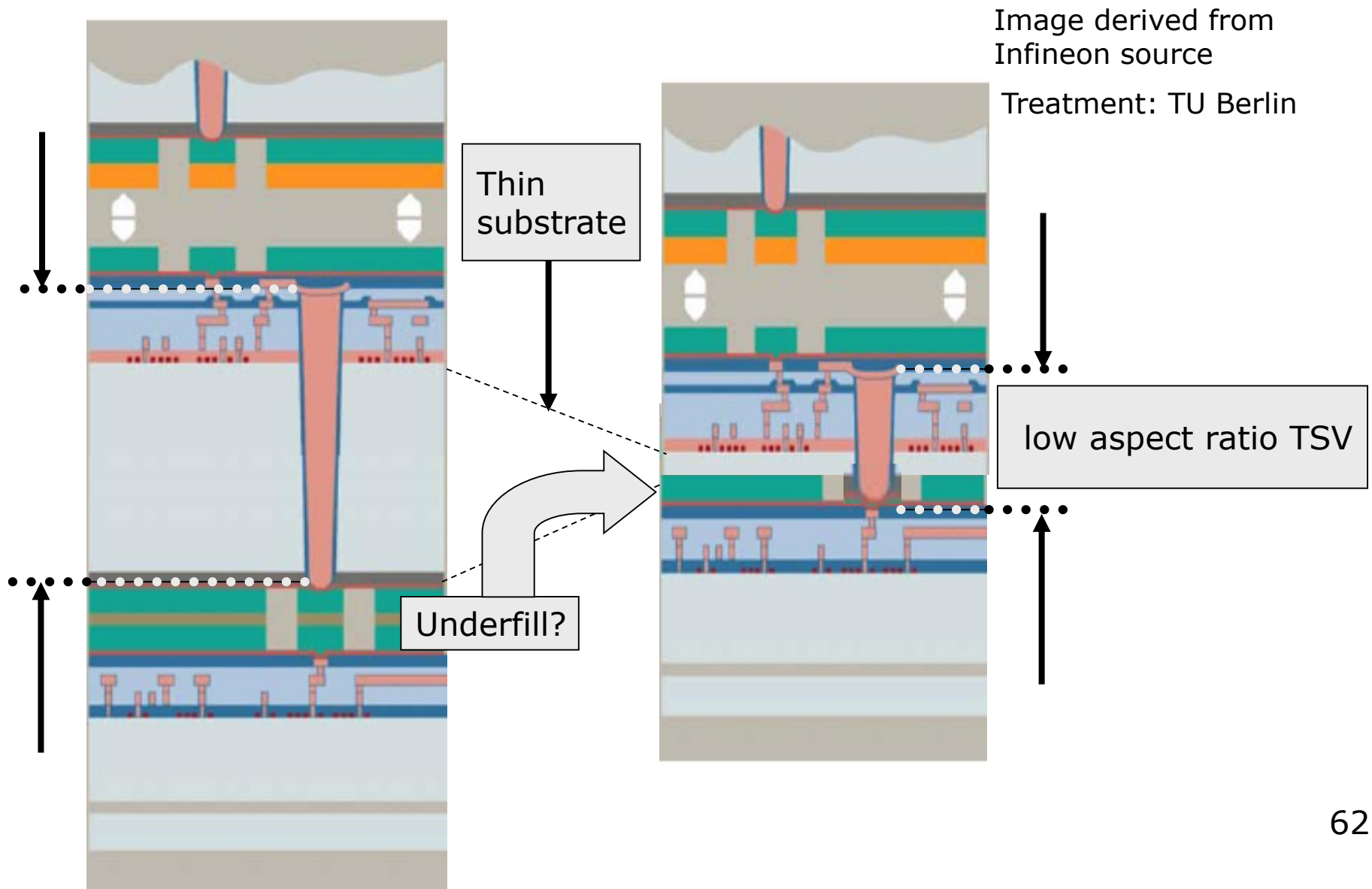


(c) Overlay of simulated and real waveforms





# 3D Integration and SoC for IoT



# CFI Readiness for SoC / Package Level

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- Dimensions in 3D SoC / Packages will come closer to chip level technologies:
- Optical CFI techniques will conquer 3D as well
- Underfill / Interposer: Lock in Thermography dynamic
- Time domain reflectometry THz
  
- Global interconnects will go photonic:
- Laser based CFI techniques may be used to modulate photonic signals
  
- Programmable BIST:
- May cover much of future debug / FA needs

# In A Nutshell

---

- Contactless signal tracking mandatory in IC development & FA
- Contactless signal tracking = Physical Interaction
- Today physical interaction needs to access through chip backside = optical techniques play major role
- Backside access allows to compare signal quantitatively = new level of precision in signal reconstruction
- When debug and FA can access each electronic information in IC, these techniques are an enormous risk for IC security attacks
- Challenge: Nanoscale Miniaturization
- The demand for contactless signal tracking in IC development will provide solutions throughout all coming technologies for debug and FA that will support the security attackers as well
- Progress to new technologies will not protect from CFI attack risk - but only a valid backside protection strategy



# Outline

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Why contactless Fault Isolation in ICs

Contactless = Physical Interaction

Technology Node and CFI Evolution

The Benefits of CFI Backside Approach

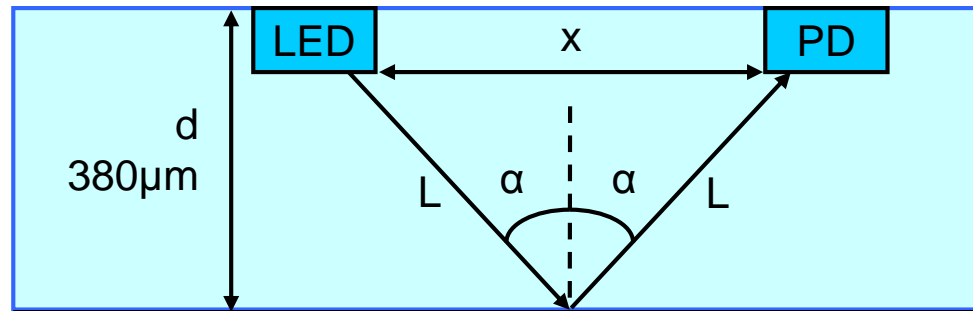
Relevant CFI Techniques and Attack Risks

IoT Roadmap: Nanoscale FinFET & Low Power

CFI will prevail and the Attack Risk with it

Backside Protection Concepts

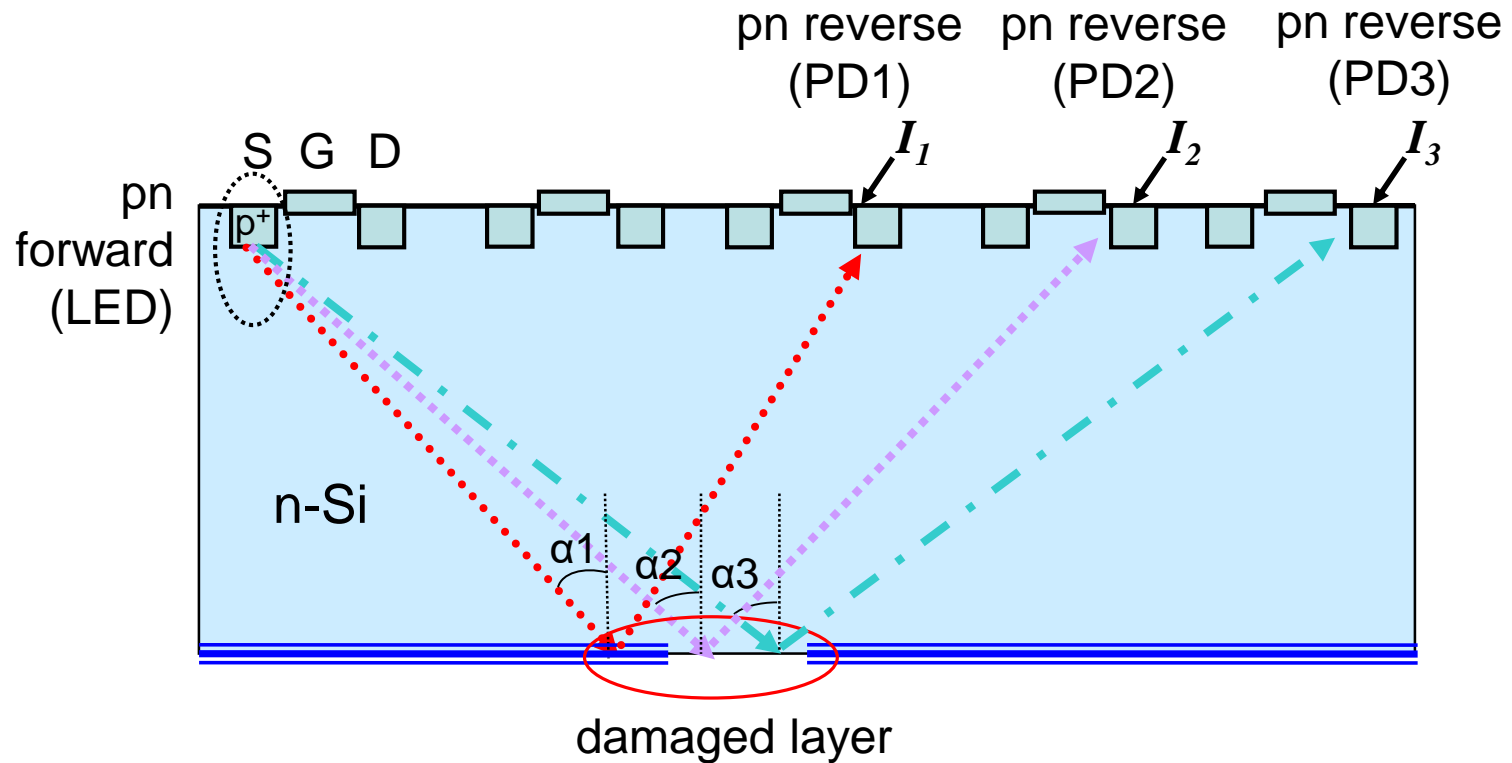
# Backside Protection (NXP patent)



Detector	$x$ ( $\mu\text{m}$ )	$L$ ( $\mu\text{m}$ )	$\alpha$ ( $^\circ$ )
PD1	360	420	25,2
PD2	780	544	45,7
PD3	1097	667	55,2
PD4	1766	884	64,5

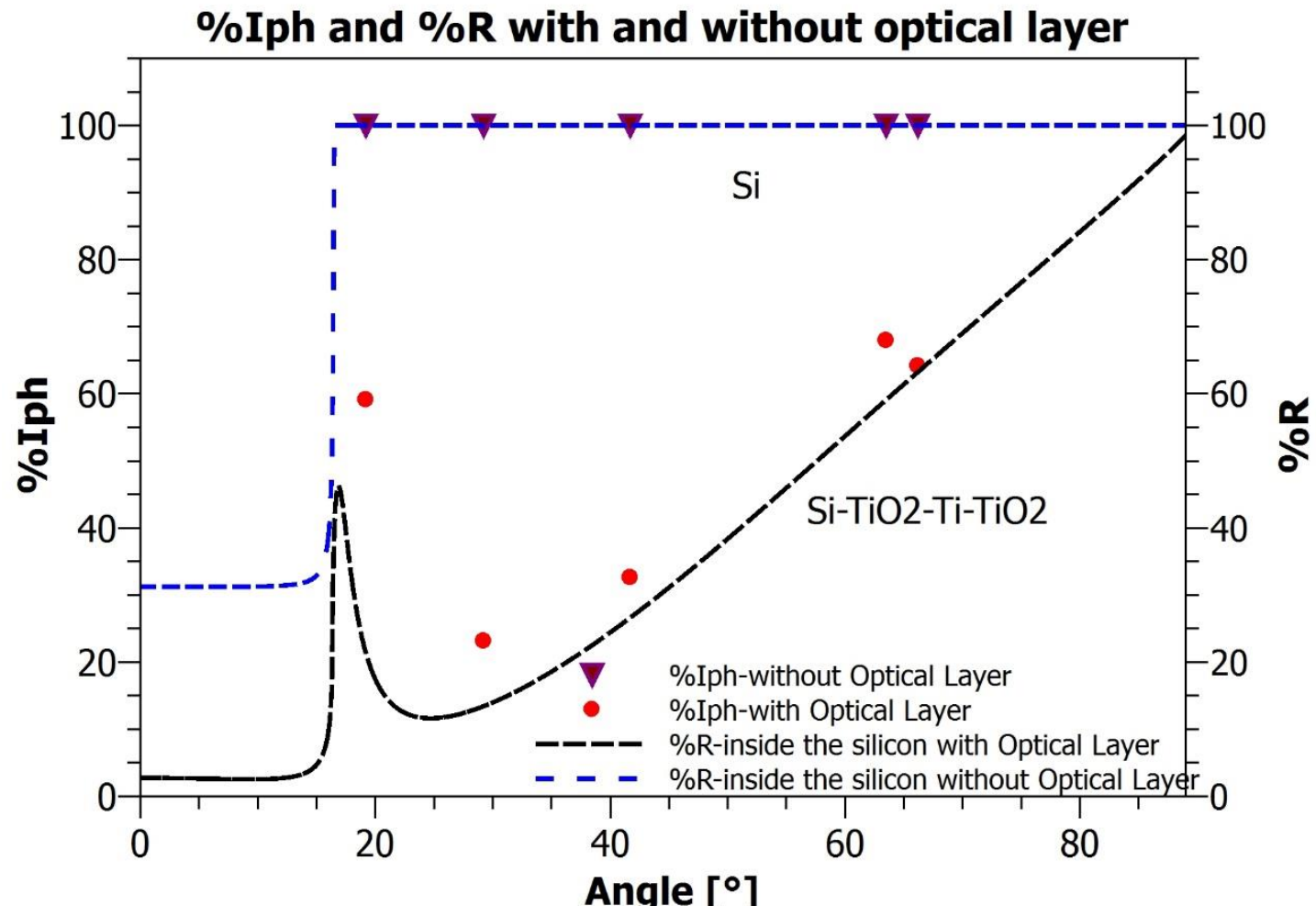
Cross section of the chip with locations of light source (LED) and detectors (PD).  $x$  is the lateral distance between LED and PD,  $d$  is the thickness of silicon and  $\alpha$  is the angle of incidence.

# Backside Protection (TU Berlin add on)



Cross section of chip protected by optically active layer and attack detection technique

# Optically Active Layer for Backside Protection



Better light sources needed?  
(laser sources originally for optical interconnect?)

# Conclusion

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Contactless Fault Isolation is essential in IC circuit development

Contactless = Physical Interaction (today: NIR optics)

IC Technology requires Backside Access: Beneficial to CFI

– and the Attacker !

IoT Roadmap: Nanoscale FinFET & Low Power

CFI will prevail: EOP Visible light sources, PE in  $> 0.5V$

Backside E Beam Probing / FIB

CFI Attack Risk will not fade away with Nanoscale !

Backside Protection Mandatory in Future

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A wizard is never late...nor is he early  
He arrives precisely when he means to.

[The Lord of the Rings]

# In A Nutshell

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